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(54) Title: BENZAZEPINE DERIVATIVES USEFUL FOR THE TREATMENT OF 5HT2C RECEPTOR ASSOCIATED DIS-EASES

(57) Abstract: The present invention relates to certain 1-substituted-2,3,4,5-tetrahydro-3-benzazepine derivatives of Formula (I), that are modulators of the 5HT2C receptor. Accordingly, compounds of the present invention are useful for the prophylaxis or treatment of 5HT2C receptor associated diseases, conditions or disorders, such as, obesity and related disorders.

BENZAZEPINE DERIVATIVES USEFUL FOR THE TREATMENT OF 5HT<sub>2C</sub> RECEPTOR ASSOCIATED DISEASES

**FIELD OF THE INVENTION**

5       The present invention relates to certain substituted-2,3,4,5-tetrahydro-3-benzazepine derivatives that are modulators of the 5HT<sub>2C</sub> receptor. Accordingly, compounds of the present invention are useful for the prophylaxis or treatment of 5HT<sub>2C</sub> receptor associated diseases, conditions or disorders, such as, obesity and related disorders.

10

**BACKGROUND OF THE INVENTION**

Obesity is a life-threatening disorder in which there is an increased risk of morbidity and mortality arising from concomitant diseases such as, but not limited to, type II diabetes, hypertension, stroke, certain forms of cancers and gallbladder disease.

15      Obesity has become a major healthcare issue in the Western World and increasingly in some third world countries. The increase in the number of obese people is due largely to the increasing preference for high fat content foods but also, and this can be a more important factor, the decrease in activity in most people's lives. In the last 10 years there has been a 30% increase in the incidence of obesity in the USA and that about 30% of the population of the USA is now considered obese. In spite of the growing awareness of the health concerns linked to obesity the 20 percentage of individuals that are overweight or obese continue to increase. In fact, the percentage of children and adolescents who are defined as overweight has more than doubled since the early 1970s and about 13 percent of children and adolescents are now seriously overweight. The most significant concern, from a public health perspective, is that children who are overweight grow up to be overweight or obese adults, and accordingly are at greater risk for major health problems. 25 Therefore, it appears that the number of individuals that are overweight or obese will continue to increase.

30      Whether someone is classified as overweight or obese is generally determined on the basis of his or her body mass index (BMI) which is calculated by dividing their body weight (kilograms - Kg) by their height squared (meters squared - m<sup>2</sup>). Thus, the units for BMI are Kg/m<sup>2</sup>. The BMI is more highly correlated with body fat than any other indicator of height and weight. A person is considered overweight when they have a BMI in the range of 25-30 kg/m<sup>2</sup>. Whereas a person with a BMI over 30 kg/m<sup>2</sup> is classified as obese and obesity is further divided into three classes, Class I (BMI of about 30 to about 34.9 kg/m<sup>2</sup>), Class II (BMI of about 35 to 39.9 kg/m<sup>2</sup>) and Class III (about 40 kg/m<sup>2</sup> or greater); see TABLE 1 below for complete classifications.

**TABLE 1**  
**CLASSIFICATION OF WEIGHT BY BODY MASS INDEX (BMI)**

BMI	CLASSIFICATION
< 18.5	Underweight
18.5-24.9	Normal
25.0-29.9	Overweight
30.0-34.9	Obesity (Class I)
35.0-39.9	Obesity (Class II)
> 40	Extreme Obesity (Class III)

As the BMI increases for an individual there is an increased risk of morbidity and mortality relative to an individual with normal BMI. Accordingly, overweight and obese individuals (BMI of about 25 kg/m<sup>2</sup> and above) are at increased risk for physical ailments such as, but not limited to, high blood pressure, cardiovascular disease (particularly hypertension), high blood cholesterol, dyslipidemia, type II (non-insulin dependent) diabetes, insulin resistance, glucose intolerance, hyperinsulinemia, coronary heart disease, angina pectoris, congestive heart failure, stroke, gallstones, cholescystitis and cholelithiasis, gout, osteoarthritis, obstructive sleep apnea and respiratory problems, some types of cancer (such as endometrial, breast, prostate, and colon), complications of pregnancy, poor female reproductive health (such as menstrual irregularities, infertility, irregular ovulation), diseases of reproduction (such as sexual dysfunction, both male and female, including male erectile dysfunction), bladder control problems (such as stress incontinence), uric acid nephrolithiasis, psychological disorders (such as depression, eating disorders, distorted body image, and low self esteem). Research has shown that even a modest reduction in body weight can correspond to a significant reduction in the risk of developing other ailments, such as, but not limited to, coronary heart disease.

As mentioned above, obesity increases the risk of developing cardiovascular diseases. Coronary insufficiency, atheromatous disease, and cardiac insufficiency are at the forefront of the cardiovascular complications induced by obesity. The incidence of coronary diseases is doubled in subjects less than 50 years of age who are 30% overweight. The diabetes patient faces a 30% reduced lifespan. After age 45, people with diabetes are about three times more likely than people without diabetes to have significant heart disease and up to five times more likely to have a stroke. These findings emphasize the inter-relations between risks factors for NIDDM and coronary heart disease and the potential value of an integrated approach to the prevention of these conditions based on the prevention of obesity [Perry, I. J., et al. *BMJ* 310, 560-564 (1995)]. It is estimated that if the entire

population had an ideal weight, the risk of coronary insufficiency would decrease by 25% and the risk of cardiac insufficiency and of cerebral vascular accidents by 35%.

Diabetes has also been implicated in the development of kidney disease, eye diseases and nervous-system problems. Kidney disease, also called nephropathy, occurs when the kidney's "filter mechanism" is damaged and protein leaks into urine in excessive amounts and eventually the kidney fails. Diabetes is also a leading cause of damage to the retina and increases the risk of cataracts and glaucoma. Finally, diabetes is associated with nerve damage, especially in the legs and feet, which interferes with the ability to sense pain and contributes to serious infections. Taken together, diabetes complications are one of the nation's leading causes of death.

The first line of treatment for individuals that are overweight or obese is to offer diet and life style advice, such as, reducing the fat content of their diet and increasing their physical activity. However many patients find these difficult to maintain and need additional help from drug therapy to sustain results from these efforts.

Most currently marketed products have been unsuccessful as treatments for obesity owing to a lack of efficacy or unacceptable side-effect profiles. The most successful drug so far was the indirectly acting 5-hydroxytryptamine (5-HT) agonist d-fenfluramine (Redux<sup>TM</sup>) but reports of cardiac valve defects in up to one third of the patient population led to its withdrawal by the FDA in 1998.

In addition, two drugs have recently been launched in the USA and Europe: Orlistat (Xenical<sup>TM</sup>), a drug that prevents absorption of fat by the inhibition of pancreatic lipase, and Sibutramine (Reductil<sup>TM</sup>), a 5-HT/noradrenaline re-uptake inhibitor. However, side effects associated with these products may limit their long-term utility. Treatment with Xenical<sup>TM</sup> is reported to induce gastrointestinal distress in some patients, while Sibutramine has been associated with raised blood pressure in some patients.

Serotonin (5-HT) neurotransmission plays an important role in numerous physiological processes both in health and in psychiatric disorders. 5-HT has been implicated in the regulation of feeding behavior for some time. 5-HT works by inducing a feeling of fullness or satiety so eating stops earlier and fewer calories are consumed. It has been shown that a stimulatory action of 5-HT on the 5HT<sub>2C</sub> receptor plays an important role in the control of eating and in the anti-obesity effect of d-fenfluramine. As the 5HT<sub>2C</sub> receptor is expressed in high density in the brain (notably in the limbic structures, extrapyramidal pathways, thalamus and hypothalamus i.e. PVN and DMH, and predominantly in the choroid plexus) and is expressed in low density or is absent in peripheral tissues, a selective 5HT<sub>2C</sub> receptor agonist can be an effective and safe anti-obesity agent. Also, 5HT<sub>2C</sub> knockout mice are overweight with cognitive impairment and susceptibility to seizure thus

establishing the clear use for a 5HT<sub>2C</sub> receptor agonist in 5HT<sub>2C</sub> receptor associated diseases or disorders.

The 5HT<sub>2C</sub> receptor plays a role in obsessive compulsive disorder, some forms of depression, and epilepsy. Accordingly, 5HT<sub>2C</sub> receptor agonists can have anti-panic properties, and 5 properties useful for the treatment of sexual dysfunction. In addition, 5HT<sub>2C</sub> receptor agonists are useful for the treatment of psychiatric symptoms and behaviors in individuals with eating disorders such as, but not limited to, anorexia nervosa and bulimia nervosa. Individuals with anorexia nervosa often demonstrate social isolation. Anorexic individuals often present symptoms of being depressed, anxious, obsession, perfectionistic traits, and rigid cognitive styles as well as sexual 10 disinterest. Other eating disorders include, anorexia nervosa, bulimia nervosa, binge eating disorder (compulsive eating) and ED-NOS (i.e., eating disorders not otherwise specified - an official diagnosis). An individual diagnosed with ED-NOS possess atypical eating disorders including situations in which the individual meets all but a few of the criteria for a particular diagnosis. What 15 the individual is doing with regard to food and weight is neither normal nor healthy.

In addition, the 5HT<sub>2C</sub> receptor is also involved in other diseases, conditions and disorders; such as Alzheimer Disease (AD). Therapeutic agents currently prescribed for Alzheimer's disease (AD) are cholinomimetic agents that act by inhibiting the enzyme acetylcholinesterase. The resulting effect is increased levels of acetylcholine, which modestly improves neuronal function and cognition in patients with AD. Although, dysfunction of cholinergic brain neurons is an early 20 manifestation of AD, attempts to slow the progression of the disease with these agents have had only modest success, perhaps because the doses that can be administered are limited by peripheral cholinergic side effects, such as tremors, nausea, vomiting, and dry mouth. In addition, as AD progresses, these agents tend to lose their effectiveness due to continued cholinergic neuronal loss.

Therefore, there is a need for agents that have beneficial effects in AD, particularly in 25 alleviating symptoms by improving cognition and slowing or inhibiting disease progression, without the side effects observed with current therapies. Therefore, serotonin 5HT<sub>2C</sub> receptors, which are exclusively expressed in brain, are attractive targets.

A major feature of AD is the formation of senile plaques made of amyloid deposits in a selected area of the brain. New therapies should focus on prevention of the production of these 30 senile plaques. An amyloid deposit composed mainly of beta-amyloid peptide (A $\beta$ ) occupies the plaque center. A $\beta$  is a peptide of 40 to 43 residues derived from a larger amyloid precursor protein, APP [Selkoe DJ, et al. *Ann Rev Neurosci*, 1994, 17:489-517]. APP is a ubiquitous transmembrane glycoprotein that is present at high levels in brain cells. APP also exists as secreted forms. By cleavage in the A $\beta$  region of APP, the long N-terminal fragment (secreted APP, APPs) is secreted

into the extracellular space. The rate of A $\beta$  production appears to be inversely coupled to rate APPs secretion. In several cell cultures, APPs secretion was accompanied by reductions in secreted A $\beta$  [Buxbaum JD, et al. *Proc Natl Acad Sci*, 1993, 90:9195-9198; Gabuzda D, et al. *J Neurochem*, 1993, 61:2326-2329; Hung AY, et al. *J Biol Chem*, 1993, 268:22959-22962; and Wolf BA, et al. *J Biol Chem*, 1995, 270:4916-4922], suggesting that stimulated secretory processing of APP into secreted APPs is associated with reduced formation of potentially amyloidogenic derivatives, or plaques.

APPs is found in plasma and cerebrospinal fluid [Ghiso J, et al. *Biochem Biophys Res Comm*, 1989, 163:430-437; and Podlisny MB, et al. *Biochem Biophys Res Commun*, 1990, 167:1094-1101]. Considering the abundance of both membrane-bound APP and APPs, they are likely to have significant biological functions. Current knowledge about APP functions indicates APP is critically required for the maintenance of neuronal and synaptic structure and function. Membrane-bound APP has been suggested to have a receptor-like structure [Kang J, et al. *Nature*, 1987, 325:733-736], with the cytoplasmic domain capable of complexing with a GTP-binding protein [Nishimoto I., et al. *Nature*, 1993, 362:75-79]. Membrane-embedded full-length APP might also have a cell adhesion function [Qiu W., et al. *J Neurosci*, 1995, 15:2157-2167].

APPs has been shown to be neurotrophic and neuroprotective in vitro [Mattson MP, et al. *Neuron*, 1993, 10:243-254; and Qiu W., et al. *J Neurosci*, 1995, 15:2157-2167]. Other proposed functions for APPs include the regulation of blood coagulation [Cole GM, et al. *Biochem Biophys Res Commun*, 1990, 170:288-295; Smith RP, et al. *Science*, 1990, 248:1126-1128; and Van Nostrand et al. *Science*, 1990, 248:745-748], wound-healing [Cunningham JM, et al. *Histochemistry*, 1991, 95:513-517], extracellular protease activity [Oltersdorf T, et al. *Nature (London)*, 1989, 341:144-147; and Van Nostrand WE, et al. *Nature*, 1989, 341:546-548], neurite extension [Jin L., et al. *J Neurosci*, 1994, 14:5461-5470; and Robakis NK, et al. in *Molecular Biology of Alzheimer's Disease*. (T. Miyatake, D.J. Selkoe and Y. Ihara, ed.), 1990, pp. 179-188, Elsevier Science Publishers B.V., Amsterdam], cell adhesiveness [Schubert D, et al. *Neuron*, 1989, 3:689-694], cell growth, [Bhasin R., et al. *Proc Natl Acad Sci USA*, 1991, 88:10307-10311; and Saitoh T., *Cell*, 1989, 58:615-622], and differentiation [Araki W., et al. *Biochem Biophys Res Commun*, 1991, 181:265-271; Milward EA, et al. *Neuron*, 1991, 9:129-137; and Yamamoto K, et al. *J Neurobiol*, 1994, 25:585-594].

The non-selective serotonin 5HT<sub>2C</sub> agonist dextrorfenfluramine (DEXNOR) stimulated amyloid precursor protein (APPs) secretion in guinea pigs while reducing levels of A $\beta$  production in vivo following repeat administration [Arjona A, et al. "Effect of a 5HT<sub>2C</sub> serotonin agonist, dextrorfenfluramine, on amyloid precursor protein metabolism in guinea pigs," *Brain Res*, 2002,

951:135-140]. Guinea pigs were chosen because guinea pig and human APP exhibit 98% sequence homology [Beck M, et al. *Biochem Biophys Acta*, 1997, 1351:17-21], the proteins are processed similarly [Beck M., et al. *Neuroscience*, 1999, 95:243-254], and the A $\beta$  peptide sequences are identical [Johnstone EM, et al. *Brain Res Mol Brain Res*, 1991, 10:299-305]. Although DEXNOR 5 is non-selective, the observed effects were attenuated by a selective serotonin 5HT<sub>2C</sub> antagonist, while a selective serotonin HT<sub>2A</sub> antagonist did not reverse the DEXNOR effects, indicating the serotonin 5HT<sub>2C</sub> receptors are the most relevant target for this effect.

In addition, 5-HT stimulates APPs ectodomain secretion via the serotonin 5HT<sub>2A</sub> and 5HT<sub>2C</sub> receptors [Nitsch RM, et al. *J Biol Chem*, 1996, 271(8):4188-4194]. In this study, 10 researchers stimulated 3T3 fibroblasts with serotonin (5-HT), which were stably expressing serotonin 5HT<sub>2A</sub> or 5HT<sub>2C</sub> receptors. 5-HT increased APPs secretion in a dose-dependent manner in both cell lines. Maximal stimulation of APPs secretion peaked at about 4-fold. Selective serotonin 5HT<sub>2A</sub> and 5HT<sub>2C</sub> antagonists blocked the effects in each cell line.

A serotonin 5HT<sub>2C</sub> receptor agonist can be effective for treating AD and preventing senile 15 plaques. Support for this claim comes from the fact that A $\beta$  is known to be neurotoxic and a key component in senile plaques involved in AD, APPs secretion and A $\beta$  levels seem to be inversely related, and serotonin 5HT<sub>2C</sub> agonists increase levels of APPs *in vitro* in cell lines stably expressing serotonin 5HT<sub>2C</sub> receptors while *in vivo* serotonin 5HT<sub>2C</sub> agonists increase levels of APPs and decrease levels of A $\beta$  as measured in cerebral spinal fluid of guinea pigs.

Evidence exists supporting the use of a compound of the present invention with agonist 20 activity at the serotonin 5HT<sub>2C</sub> receptor for the treatment of AD. The compound of the invention can be used alone or in combination with another agent or agents (such as but not limited to AChE inhibitors) that are typically prescribed for AD.

Another disease, disorder or condition that can is associated with the function of the 5HT<sub>2C</sub> 25 receptor is erectile dysfunction (ED). Erectile dysfunction is the inability to achieve or maintain an erection sufficiently rigid for intercourse, ejaculation, or both. An estimated 20-30 million men in the United States have this condition at some time in their lives. The prevalence of the condition increases with age. Five percent of men 40 years of age report ED. This rate increases to between 15% and 25% by the age of 65, and to 55% in men over the age of 75 years.

Erectile dysfunction can result from a number of distinct problems. These include loss of 30 desire or libido, the inability to maintain an erection, premature ejaculation, lack of emission, and inability to achieve an orgasm. Frequently, more than one of these problems presents themselves simultaneously. The conditions may be secondary to other disease states (typically chronic conditions), the result of specific disorders of the urogenital system or endocrine system, secondary

to treatment with pharmacological agents (e.g. antihypertensive drugs, antidepressant drugs, antipsychotic drugs, etc.) or the result of psychiatric problems. Erectile dysfunction, when organic, is primarily due to vascular irregularities associated with atherosclerosis, diabetes, and hypertension.

There is evidence for use of a serotonin 5HT<sub>2C</sub> agonist for the treatment of sexual dysfunction in males and females. The serotonin 5HT<sub>2C</sub> receptor is involved with the processing and integration of sensory information, regulation of central monoaminergic systems, and modulation of neuroendocrine responses, anxiety, feeding behavior, and cerebrospinal fluid production [Tecott, L.H., et al. *Nature* 374: 542-546 (1995)]. In addition, the serotonin 5HT<sub>2C</sub> receptor has been implicated in the mediation of penile erections in rats, monkeys, and humans.

The exact mechanism by which 5HT<sub>2C</sub> receptors mediate penile erections remains unknown. However, there is good evidence, indirect and direct, supporting the role of serotonin 5HT<sub>2C</sub> receptors in the mediation of penile erections. Anatomical studies have shown that the penis receives autonomic innervation from sympathetic and parasympathetic nuclei located in the spinal cord [Pescatori ES, et al. *J Urol* 1993; 149: 627-32]. In agreement, experimental and clinical data support that penile erections are controlled by a spinal reflex. A closer analysis showed that activation of 5HT<sub>2</sub> spinal receptors facilitated pudendal reflex in anesthetized cats [Danuser H and Thor KB, *Br J Pharmacol* 1996; 118: 150-4]. Accordingly, stimulation of 5HT<sub>2C</sub> receptors has been shown to be proerectile [Millan MJ, et al. *European Journal of Pharmacology* 1997; 325], and 5HT<sub>2C</sub> receptors have been described on proerectile spinal parasympathetic neurons [Bancila M et al. *Neuroscience* 1999; 92: 1523-37].

Indirect evidence comes from the research and reports of the side effects induced by the use of selective serotonin reuptake inhibitors (SSRIs). SSRIs have demonstrated antagonist action at the serotonin 5HT<sub>2C</sub> receptors [Jenck et al. *European Journal of Pharmacology* 231: 223-229 (1993); Lightowler et al. *European Journal of Pharmacology* 296: 137-43 (1996); and Palvimaki, E., et al. *Psychopharmacology* 126: 234-240 (1996)]. Among the most derogatory side effects of SSRIs noted in humans is increased difficulty in attaining penile erection. Although SSRIs have a rich pharmacological profile, it is believed that the antagonist effects of SSRIs at the 5HT<sub>2C</sub> receptors could be implicated in the inhibition of penile erections [Palvimaki, E., et al. *Psychopharmacology* 126: 234-240 (1996)].

Further evidence comes from studies with a variety compounds with known agonist activity for the serotonin 5HT<sub>2C</sub> receptor. Pharmacologic studies with rats and rhesus monkeys provide direct evidence of the proerectile properties of agonist of the serotonin 5-HT<sub>2C</sub> receptor [Millan MJ, et al. *European Journal of Pharmacology* 1997; 325; and Pomerantz, et al. *European Journal of Pharmacology* 243:227-34 (1993)]. These pro-erectile effects were unaffected by antagonists for

the serotonin 5HT<sub>2A</sub> and 5HT<sub>2B</sub> receptors, respectively. Antagonists of the serotonin 5HT<sub>2C</sub> receptors attenuated the proerectile effects of the 5-HT<sub>2C</sub> agonists. The inhibition action corresponded to each antagonist's affinity for the 5-HT<sub>2C</sub> receptors. In addition, agonists of the serotonin 5HT<sub>2A</sub> and 5HT<sub>2B</sub> receptors did not elicit penile erections.

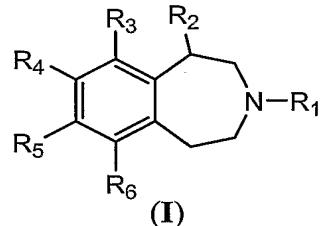
5 In summary, the 5HT<sub>2C</sub> receptor is a validated and well-accepted receptor target for the prophylaxis and/or treatment of 5HT<sub>2C</sub> mediated receptor diseases and disorders, such as, obesity, eating disorders, psychiatric disorders, Alzheimer Disease, sexual dysfunction and disorders related thereto. It can be seen that there exists a need for selective 5HT<sub>2C</sub> receptor agonists that can safely address these needs. The present invention is directed to these, as well as other, important ends.

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## SUMMARY OF THE INVENTION

The present invention is drawn to compounds which bind to and modulate the activity of the 5HT<sub>2C</sub> receptor, and uses thereof. The term 5HT<sub>2C</sub> receptor as used herein includes the human sequences found in GeneBank accession number AF498983, naturally-occurring allelic variants, 15 mammalian orthologs, and recombinant mutants thereof.

One aspect of the present invention pertains to certain substituted-2,3,4,5-tetrahydro-3-benzazepine derivatives as represented by Formula (I):



wherein:

20 R<sub>1</sub> is H or C<sub>1-8</sub> alkyl;  
 R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, C<sub>1-4</sub> haloalkyl or CH<sub>2</sub>OH; and  
 R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> are each independently H, C<sub>1-4</sub> alkyl, amino, cyano, halogen, C<sub>1-4</sub> haloalkyl, nitro or OH; or  
 a pharmaceutically acceptable salt, hydrate and solvate thereof;  
 provided that when R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, and CH<sub>2</sub>OH then R<sub>3</sub> and R<sub>6</sub> are not both hydrogen.

25

Some embodiments of the present invention are compounds of Formula (I) wherein the compounds are the *R* enantiomers.

Some embodiments of the present invention are compounds of Formula (I) wherein the 30 compounds are the *S* enantiomers.

Another aspect of the present invention also pertains to pharmaceutical compositions comprising one or more compounds of the invention, and one or more pharmaceutically acceptable carriers.

Another aspect of the present invention pertains to methods of modulating a 5HT<sub>2C</sub> receptor  
5 comprising contacting said receptor with a therapeutically effective amount or dose of a compound as described herein. Preferably, compounds of the present invention are agonists of the 5HT<sub>2C</sub> receptor.

Another aspect of the present invention pertains to methods of prophylaxis or treatment of disorders of the central nervous system; damage to the central nervous system; cardiovascular  
10 disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea comprising administering to an individual in need of such prophylaxis or treatment a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof.

Another aspect of the present invention pertains to methods of decreasing food intake of an individual comprising administering to said individual a therapeutically effective amount or dose of  
15 a compound of the present invention or a pharmaceutical composition thereof.

Another aspect of the present invention pertains to methods of inducing satiety in an individual comprising administering to said individual a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof.

Another aspect of the present invention pertains to methods of controlling weight gain of an individual comprising administering to said individual suffering from weight control a  
20 therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof.

Another aspect of the present invention pertains to methods of producing a pharmaceutical composition comprising admixing at least one compound of the present invention and at least one  
25 pharmaceutically acceptable carrier.

Another aspect of the present invention pertains to compounds, as described herein, for use in a method of treatment of the human or animal body by therapy.

Another aspect of the present invention pertains to compounds, as described herein, for use in a method of prophylaxis or treatment of disorders of the central nervous system; damage to the  
30 central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea of the human or animal body by therapy.

Another aspect of the present invention pertains to use of compounds, as described herein, for the manufacture of a medicament for use in the treatment or prophylaxis of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders;

gastrointestinal disorders; diabetes insipidus or sleep apnea.

In some embodiments, the disorders of the central nervous system are selected the group consisting of depression, atypical depression, bipolar disorders, anxiety disorders, obsessive-compulsive disorders, social phobias or panic states, sleep disorders, sexual dysfunction, psychoses, 5 schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, chronic fatigue syndrome, drug and alcohol addiction, obesity, bulimia, anorexia nervosa and premenstrual tension. In further 10 embodiments, the disorder of the central nervous system is obesity. In further embodiments, the disorder of the central nervous system is Alzheimer disease. In further embodiments, the sexual dysfunction is Male erectile dysfunction.

In some embodiments, the damage to the central nervous system is by trauma, stroke, neurodegenerative diseases, toxic CNS diseases or infective CNS diseases. In further embodiments, 15 the damage to the central nervous system is by encephalitis or meningitis.

In some embodiments, the cardiovascular disorder is thrombosis.

In some embodiments, the gastrointestinal disorder is dysfunction of gastrointestinal motility.

In some embodiments, the invention pertains to methods for alleviation of a symptom of 20 any of the diseases, conditions or disorders mentioned herein.

In some embodiments, the individual is a mammal.

In some embodiments, the individual is a mammal and preferably, the mammal is a human.

In further embodiments, the human has a body mass index of about 18.5 to about 45.

In further embodiments, the human has a body mass index of about 25 to about 45.

25 In further embodiments, the human has a body mass index of about 30 to about 45.

In further embodiments, the human has a body mass index of about 35 to about 45.

## DETAILED DESCRIPTION OF THE INVENTION

### Definitions

30 For clarity and consistency, the following definitions will be used throughout this patent document.

**AGONISTS** shall mean moieties that interact and activate the receptor, such as the 5HT<sub>2c</sub> receptor and initiates a physiological or pharmacological response characteristic of that receptor. For example, when moieties activate the intracellular response upon binding to the receptor, or enhance GTP

binding to membranes.

The term **ANTAGONISTS** is intended to mean moieties that competitively bind to the receptor at the same site as agonists (for example, the endogenous ligand), but which do not activate the intracellular response initiated by the active form of the receptor, and can thereby inhibit the 5 intracellular responses by agonists or partial agonists. Antagonists do not diminish the baseline intracellular response in the absence of an agonist or partial agonist.

#### **CHEMICAL GROUP, MOIETY OR RADICAL:**

As used herein, the term “**alkyl**” is intended to denote hydrocarbon compounds including straight chain, branched and cyclic hydrocarbons, including for example but not 10 limited to methyl, ethyl, n-propyl, isopropyl, cyclopropyl, n-butyl, sec-butyl, tert-butyl, cyclobutyl, cyclopropylmethyl, n-pentyl, isopentyl, tert-pentyl, cyclopentyl, cyclopentylmethyl, n-hexyl, cyclohexyl, and the like. The term “**C<sub>1-8</sub> alkyl**” refers to an alkyl group containing 1 to 8 carbon atoms. Likewise, the term “**C<sub>1-4</sub> alkyl**” refers to an alkyl group containing 1 to 4 carbon atoms. Throughout this specification, it should be 15 understood that the term alkyl is intended to encompass both non-cyclic hydrocarbon compounds and cyclic hydrocarbon compounds. In some embodiments of the compounds of the invention, alkyl groups are non-cyclic. In further embodiments, alkyl groups are cyclic, and in further embodiments, alkyl groups are both cyclic and noncyclic. Where no preference is specified, the term “alkyl” is intended to denote groups are both cyclic and 20 non-cyclic.

The term “**amino**” denotes the group –NH<sub>2</sub>.

The term “**cyano**” denotes the group –CN.

The term “**C<sub>1-4</sub> haloalkyl**” denotes an alkyl group, defined herein, wherein the alkyl is substituted with one halogen up to fully substituted and a fully substituted C<sub>1-4</sub> haloalkyl 25 can therefore be represented by the formula C<sub>n</sub>L<sub>2n+1</sub> wherein L is a halogen; when more than one halogen is present then they may be the same or different and selected from the group consisting of F, Cl, Br or I. Examples of C<sub>1-4</sub> haloalkyl groups include: fluoromethyl, difluoromethyl, trifluoromethyl, chlorodifluoromethyl, 2,2,2-trifluoroethyl, pentafluoroethyl and the like.

30 The term “**halogen**” or “**halo**” denotes to a fluoro, chloro, bromo or iodo group.

The term “**nitro**” refers to the group -NO<sub>2</sub>.

**COMPOSITION** shall mean a material comprising at least two compounds or two components; for example, and not limitation, a Pharmaceutical Composition is a Composition.

**CONTACT** or **CONTACTING** shall mean bringing the indicated moieties together,

whether in an in vitro system or an in vivo system. Thus, "contacting" a 5HT<sub>2C</sub> receptor with a compound of the invention includes the administration of a compound of the present invention to an individual, preferably a human, having a 5HT<sub>2C</sub> receptor, as well as, for example, introducing a compound of the invention into a sample containing a cellular or more purified preparation containing a 5HT<sub>2C</sub> receptor.

5       **IN NEED OF PROPHYLAXIS OR TREATMENT** as used herein refers to a judgment made by a caregiver (e.g. physician, nurse, nurse practitioner, etc. in the case of humans; veterinarian in the case of animals, including non-human mammals) that an individual or animal requires or will benefit from prophylaxis or treatment. This judgment is made based on a variety of 10 factors that are in the realm of a caregiver's expertise, but that includes the knowledge that the individual or animal is ill, or will be ill, as the result of a disease, condition or disorder that is treatable by the compounds of the invention. In general, "in need of prophylaxis" refers to the judgment made by the caregiver that the individual will become ill. In this context, the compounds of the invention are used in a protective or preventive manner. However, "in need of treatment" 15 refers to the judgment of the caregiver that the individual is already ill, therefore, the compounds of the present invention are used to alleviate, inhibit or ameliorate the disease, condition or disorder.

12       **INDIVIDUAL** as used herein refers to any animal, including mammals, preferably mice, rats, other rodents, rabbits, dogs, cats, swine, cattle, sheep, horses, or primates, and most preferably humans.

13       **PHARMACEUTICAL COMPOSITION** shall mean a composition comprising at least one active ingredient, whereby the composition is amenable to investigation for a specified, efficacious outcome in a mammal (for example, and not limitation, a human). Those of ordinary skill in the art will understand and appreciate the techniques appropriate for determining whether an active ingredient has a desired efficacious outcome based upon the needs of the artisan.

14       **THERAPEUTICALLY EFFECTIVE AMOUNT** as used herein refers to the amount of active compound or pharmaceutical agent that elicits the biological or medicinal response in a tissue, system, animal, individual or human that is being sought by a researcher, veterinarian, medical doctor or other clinician, which includes one or more of the following:

15       (1) Preventing the disease; for example, preventing a disease, condition or disorder in an individual that may be predisposed to the disease, condition or disorder but does not yet experience or display the pathology or symptomatology of the disease,

16       (2) Inhibiting the disease; for example, inhibiting a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., arresting further development of the pathology and/or symptomatology),

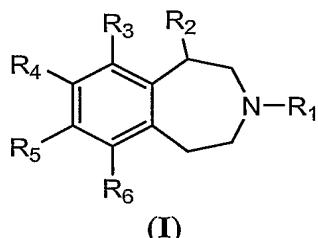
and

(3) Ameliorating the disease; for example, ameliorating a disease, condition or disorder in an individual that is experiencing or displaying the pathology or symptomatology of the disease, condition or disorder (i.e., reversing the pathology and/or symptomatology).

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### Compounds of the Present Invention

One aspect of the present invention pertains to certain substituted-2,3,4,5-tetrahydro-3-benzazepine derivatives as represented by Formula (I):



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wherein:

R<sub>1</sub> is H or C<sub>1-8</sub> alkyl;

R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, C<sub>1-4</sub> haloalkyl or CH<sub>2</sub>OH; and

R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> are each independently H, C<sub>1-4</sub> alkyl, amino, cyano, halogen, C<sub>1-4</sub> haloalkyl, nitro or OH; or

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a pharmaceutically acceptable salt, hydrate and solvate thereof;

provided that when R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, and CH<sub>2</sub>OH then R<sub>3</sub> and R<sub>6</sub> are not both hydrogen.

In some embodiments, when R<sub>1</sub> is H and R<sub>2</sub> is CH<sub>3</sub> then R<sub>3</sub>, R<sub>4</sub> and R<sub>6</sub> can not all be hydrogens and R<sub>5</sub> can not be hydrogen or *iso*-propyl.

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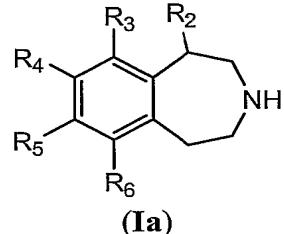
It is appreciated that certain features of the invention, which are, for clarity, described in the context of separate embodiments, may also be provided in combination in a single embodiment. Conversely, various features of the invention which are, for brevity, described in the context of a single embodiment, may also be provided separately or in any suitable subcombination.

25

It is understood and appreciated that compounds of Formula (I) may have one or more chiral centers, and therefore can exist as enantiomers and/or diastereomers. The invention is understood to extend to and embrace all such enantiomers, diastereomers and mixtures thereof, including but not limited to racemates. Accordingly, one embodiment of the present invention pertains to compounds of Formula (I) and formulae used throughout this disclosure that are *R* enantiomers. Further, one embodiment of the present invention pertains to compounds of Formula (I) and formulae used throughout this disclosure that are *S* enantiomers. It is understood that

compounds of Formula (I) and formulae used throughout this disclosure are intended to represent all individual enantiomers and mixtures thereof, unless stated or shown otherwise.

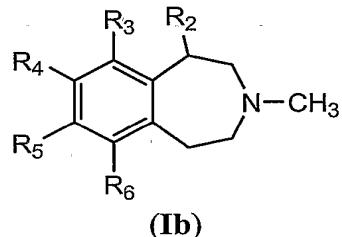
In some embodiments of the present invention are compounds of Formula (I) wherein R<sub>1</sub> is H. In some embodiments, compounds can be represented by Formula (Ia) as illustrated below:



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wherein each variable in Formula (Ia) has the same meaning as described herein, *supra* and *infra*.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>1</sub> is C<sub>1-8</sub> alkyl. In some embodiments R<sub>1</sub> is methyl. In some embodiments, compounds can be represented by Formula (Ib) as illustrated below:

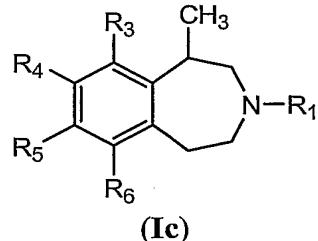


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wherein each variable in Formula (Ib) has the same meaning as described herein, *supra* and *infra*.

In some embodiments R<sub>1</sub> is ethyl. In some embodiments R<sub>1</sub> is *n*-propyl. In some embodiments R<sub>1</sub> is *iso*-propyl. In some embodiments R<sub>1</sub> is *n*-butyl.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>2</sub> is C<sub>1-4</sub> alkyl. In some embodiments R<sub>2</sub> is methyl. In some embodiments, compounds can be represented by Formula (Ic) as illustrated below:



wherein each variable in Formula (Ic) has the same meaning as described herein, *supra* and *infra*.

In further embodiments R<sub>2</sub> is ethyl. In some embodiments R<sub>2</sub> is *iso*-propyl. In some embodiments R<sub>2</sub> is *n*-butyl.

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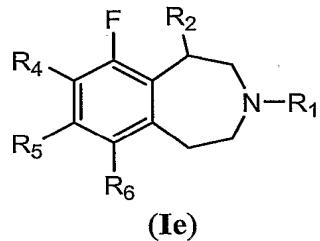
Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>2</sub> is C<sub>1-4</sub> haloalkyl. Examples of a C<sub>1-4</sub> haloalkyl group include, but are not limited to, CH<sub>2</sub>F, CHF<sub>2</sub>, CF<sub>3</sub>, CH<sub>2</sub>CHF<sub>2</sub>, CH<sub>2</sub>CH<sub>2</sub>F, CH<sub>2</sub>CF<sub>3</sub> and CF<sub>2</sub>CF<sub>3</sub>. In some embodiments R<sub>2</sub> is -CF<sub>3</sub>.

- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is H.
- 5 In some embodiments R<sub>3</sub> is C<sub>1-4</sub> alkyl. In some embodiments R<sub>3</sub> is methyl (i.e., -CH<sub>3</sub>).

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is amino.

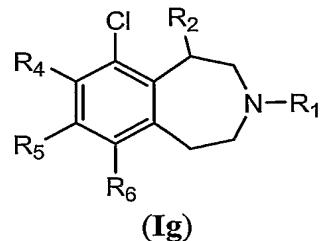
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is 10 cyano.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is halogen. In some embodiments R<sub>3</sub> is a fluorine atom. In some embodiments, compounds can be represented by Formula (Ie) as illustrated below:



- 15 wherein each variable in Formula (Ie) has the same meaning as described herein, *supra* and *infra*. In some embodiments, compounds of the present invention are of Formula (Ie) and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

In some embodiments, R<sub>3</sub> is a chlorine atom. In some embodiments, compounds can be represented by Formula (Ig) as illustrated below:



- 20 wherein each variable in Formula (Ig) has the same meaning as described herein, *supra* and *infra*. In some embodiments, compounds of the present invention are of Formula (Ig) and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

- In some embodiments R<sub>3</sub> is a bromine atom.
- 25 In some embodiments R<sub>3</sub> is an iodine atom.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is C<sub>1-4</sub> haloalkyl. In some embodiments R<sub>3</sub> is CF<sub>3</sub>.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is nitro.

5 Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>3</sub> is -OH.

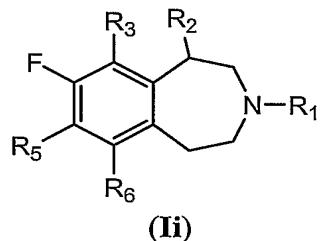
Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is H.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is C<sub>1-4</sub> alkyl. In some embodiments, R<sub>4</sub> is methyl (i.e., -CH<sub>3</sub>).

10 Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is amino.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is cyano.

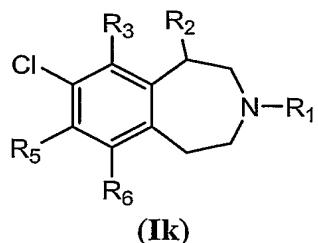
15 Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is halogen. In some embodiments R<sub>4</sub> is a fluorine atom. In some embodiments, compounds can be represented by Formula (II) as illustrated below:



wherein each variable in Formula (II) has the same meaning as described herein, *supra* and *infra*.

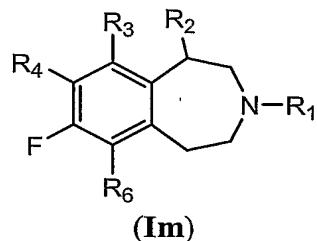
20 In some embodiments, compounds of the present invention are of Formula (II) and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

In some embodiments R<sub>4</sub> is a chlorine atom. In some embodiments, compounds can be represented by Formula (Ik) as illustrated below:



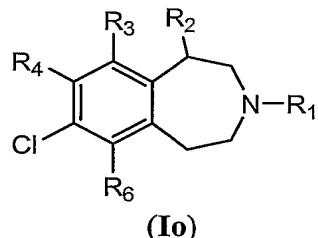
wherein each variable in Formula (Ik) has the same meaning as described herein, *supra* and *infra*. In some embodiments, some embodiments of the present are of Formula (Ik) and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

- 5        In some embodiments R<sub>4</sub> is a bromine atom.
- In some embodiments R<sub>4</sub> is an iodine atom.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is C<sub>1-4</sub> haloalkyl. In some embodiments R<sub>4</sub> is CF<sub>3</sub>.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is nitro.
- 10      Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>4</sub> is -OH.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is H.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is C<sub>1-4</sub> alkyl. In some embodiments R<sub>5</sub> is methyl (i.e., -CH<sub>3</sub>).
- 15      Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is amino.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is cyano.
- Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is halogen. In some embodiments R<sub>5</sub> is a fluorine atom. In some embodiments, compounds can be represented by Formula (Im) as illustrated below:



wherein each variable in Formula (Im) has the same meaning as described herein, *supra* and *infra*. In some embodiments, compounds of the present invention are of Formula (Im) and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>5</sub> is halogen. In some embodiments R<sub>5</sub> is a chlorine atom. In some embodiments, compounds can be represented by Formula (Io) as illustrated below:



wherein each variable in Formula **(Ia)** has the same meaning as described herein, *supra* and *infra*. In some embodiments, compounds of the present invention are of Formula **(Ia)** and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments R<sub>2</sub> is CH<sub>3</sub>.

5        In some embodiments R<sub>5</sub> is a bromine atom. In some embodiments R<sub>5</sub> is an iodine atom.

Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>5</sub> is C<sub>1-4</sub> haloalkyl. In some embodiments R<sub>5</sub> is CF<sub>3</sub>.

Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>5</sub> is nitro.

10      Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>5</sub> is -OH

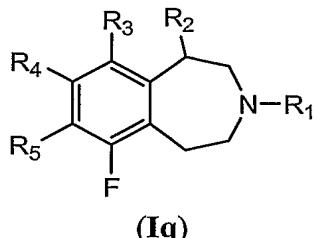
Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>6</sub> is H.

Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>6</sub> is C<sub>1-4</sub> alkyl. In some embodiments R<sub>6</sub> is -CH<sub>3</sub>.

15      Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>6</sub> is amino.

Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>6</sub> is cyano.

20      Some embodiments of the present invention are compounds of Formula **(I)** wherein R<sub>6</sub> is halogen. In some embodiments R<sub>6</sub> is a fluorine atom. In some embodiments, compounds can be represented by Formula **(Iq)** as illustrated below:

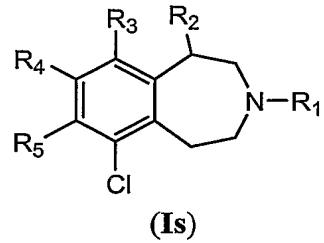


wherein each variable in Formula **(Iq)** has the same meaning as described herein, *supra* and *infra*.

In some embodiments, compounds of the present invention are of Formula **(Iq)** and R<sub>2</sub> is C<sub>1-4</sub> alkyl; in further embodiments R<sub>2</sub> is CH<sub>3</sub>.

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In some embodiments R<sub>6</sub> is a chlorine atom. In some embodiments, compounds can be represented by Formula (Is) as illustrated below:



wherein each variable in Formula (Is) has the same meaning as described herein, *supra* and *infra*.

- 5 In some embodiments, compounds of the present invention are of Formula (Is) and R<sub>2</sub> is C<sub>1-4</sub> alkyl in further embodiments, R<sub>2</sub> is CH<sub>3</sub>.

In some embodiments R<sub>6</sub> is a bromine atom. In some embodiments R<sub>6</sub> is an iodine atom.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>6</sub> is C<sub>1-4</sub> haloalkyl. In some embodiments R<sub>6</sub> is CF<sub>3</sub>.

- 10 Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>6</sub> is nitro.

Some embodiments of the present invention are compounds of Formula (I) wherein R<sub>6</sub> is -OH.

- 15 In some embodiments, compounds of the present invention are of Formula (Ic) wherein R<sub>1</sub> is H or C<sub>1-8</sub> alkyl, and R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are each independently H or halogen.

In some embodiments, compounds of the present invention are of Formula (Ic) wherein R<sub>1</sub> is H or CH<sub>3</sub>, and R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub>, and R<sub>6</sub> are each independently H, F, Cl, or Br.

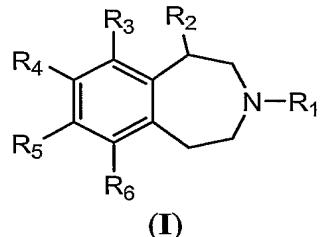
In some embodiments, compounds of the present invention are of Formula (Ic) wherein R<sub>1</sub> is H, and R<sub>3</sub> is H, F, Cl, or Br; R<sub>4</sub> is H or Cl; R<sub>5</sub> is H; and R<sub>6</sub> is H or Cl.

- 20 In some embodiments, compounds of the present invention are of Formula (Ic) wherein R<sub>1</sub> is CH<sub>3</sub>, and R<sub>3</sub> is H, F, Cl, or Br; R<sub>4</sub> is H or Cl; R<sub>5</sub> is H; and R<sub>6</sub> is H or Cl.

This application is related to US Provisional Patent Application, Serial No. 60/479,280, which is incorporated by reference in its entirety.

- 25 Still further embodiments of the present invention are compounds of Formula (I) as shown in TABLE 2 below or a pharmaceutically acceptable salt, hydrate and solvate thereof:

TABLE 2



(I)

R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>	R <sub>6</sub>
H	CH <sub>3</sub>	Cl	H	H	Cl
H	CH <sub>3</sub>	Cl	H	Cl	H
H	CH <sub>3</sub>	Cl	Cl	H	H
H	CH <sub>3</sub>	Cl	H	H	H
H	CH <sub>3</sub>	H	H	Cl	Cl
H	CH <sub>3</sub>	H	Cl	H	Cl
H	CH <sub>3</sub>	Cl	H	H	Cl
H	CH <sub>3</sub>	H	H	H	Cl
H	CH <sub>3</sub>	F	H	H	Cl
H	CH <sub>3</sub>	F	H	Cl	H
H	CH <sub>3</sub>	F	Cl	H	H
H	CH <sub>3</sub>	F	H	H	H
H	CH <sub>3</sub>	H	H	Cl	F
H	CH <sub>3</sub>	H	Cl	H	F
H	CH <sub>3</sub>	Cl	H	H	F
H	CH <sub>3</sub>	H	H	H	F
H	CH <sub>3</sub>	Br	Cl	H	H
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	Cl	H
CH <sub>3</sub>	CH <sub>3</sub>	Cl	Cl	H	H
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	H	H

R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>	R <sub>6</sub>
CH <sub>3</sub>	CH <sub>3</sub>	H	H	Cl	Cl
CH <sub>3</sub>	CH <sub>3</sub>	H	Cl	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	H	H	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	F	H	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	F	H	Cl	H
CH <sub>3</sub>	CH <sub>3</sub>	F	Cl	H	H
CH <sub>3</sub>	CH <sub>3</sub>	F	H	H	H
CH <sub>3</sub>	CH <sub>3</sub>	H	H	Cl	F
CH <sub>3</sub>	CH <sub>3</sub>	H	Cl	H	F
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	H	F
CH <sub>3</sub>	CH <sub>3</sub>	H	H	H	F
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	H	Cl
CH <sub>3</sub>	CH <sub>3</sub>	Cl	H	Cl	H
CH <sub>3</sub>	CH <sub>3</sub>	Br	Cl	H	H

Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: 6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; 6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; 8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and 8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: 6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; 6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; 8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; 8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and 9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: N-methyl-6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; N-methyl-6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; N-methyl-8-Chloro-9-fluoro-1-

methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; N-methyl-8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and N-methyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

Some embodiments of the present invention are compounds of Formula (I) selected from  
5 the group consisting of: (R)-6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and (R)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

10 Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: (S)-6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and (S)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

15 Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: (R)-N-methyl-6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-N-methyl-6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-N-methyl-8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (R)-N-methyl-8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and (R)-N-methyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

20 Some embodiments of the present invention are compounds of Formula (I) selected from the group consisting of: (S)-N-methyl-6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-N-methyl-6-Chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-N-methyl-8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; (S)-N-methyl-8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; and (S)-N-methyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine; or a pharmaceutically acceptable salt, hydrate and solvate thereof.

25 At various places in the present specification substituents of compounds of the invention are disclosed in groups or in ranges. It is specifically intended that the invention include each and every individual subcombination of the members of such groups and ranges. For example, the term “C<sub>1-4</sub> alkyl” is specifically intended to individually and separately disclose methyl, ethyl, C<sub>3</sub> alkyl and C<sub>4</sub> alkyl.

**Methods and Use**

One aspect of the present invention pertains to methods of modulating a 5HT<sub>2C</sub> receptor comprising contacting said receptor with a therapeutically effective amount or dose of a compound as described herein. Preferably, compounds of the present invention are agonists of the 5HT<sub>2C</sub> receptor.

Another aspect of the present invention pertains to methods of prophylaxis or treatment of a 5HT<sub>2C</sub> receptor associated disease in an individual comprising administering to the individual in need of such prophylaxis or treatment a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof. In some embodiments, the 5HT<sub>2C</sub> receptor associated disease is selected from the group consisting of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus and sleep apnea. In some embodiments, the individual is a mammal. Preferably, the mammal is a human.

In some embodiments, the 5HT<sub>2C</sub> receptor associated related disease is selected from the group consisting of depression, atypical depression, bipolar disorders, anxiety, anxiety disorders, obsessive-compulsive disorders, social phobias, panic states, attention deficit hyperactivity disorder, disruptive behavior disorders, impulse control disorders, borderline personality disorder, sleep disorders (e.g., sleep apnea), autism, seizure disorders, mutism, selective mutism, childhood anxiety disorders, sexual dysfunction in males (e.g., premature ejaculation and erectile difficulty or dysfunction), sexual dysfunction in females, psychoses, schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, dementia of aging, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, memory loss, chronic fatigue syndrome, drug and alcohol addiction, alcoholism, tobacco abuse, weight loss, obesity, bulimia, bulimia nervosa, anorexia nervosa, binge eating disorder, premenstrual tension, premenstrual syndrome (PMS or late luteal phase dysphoric disorder), post-traumatic syndrome, spinal cord injury, damage of the central nervous system (e.g., trauma, stroke, neurodegenerative diseases or toxic or infective disorders (e.g., thrombosis), gastrointestinal disorders (e.g., dysfunction of gastrointestinal motility), diabetes insipidus, and type II diabetes.

In some embodiments, the 5HT<sub>2C</sub> receptor associated disease is selected from the group consisting of high blood pressure, hypertension, high blood cholesterol, dyslipidemia, type II (non-insulin dependent) diabetes, insulin resistance, glucose intolerance, hyperinsulinemia, coronary heart disease, angina pectoris, congestive heart failure, stroke, gallstones, cholescystitis and

cholelithiasis, gout, osteoarthritis, obstructive sleep apnea and respiratory problems, some types of cancer (such as endometrial, breast, prostate, and colon), complications of pregnancy, poor female reproductive health (such as menstrual irregularities, infertility, irregular ovulation), bladder control problems (such as stress incontinence), uric acid nephrolithiasis, psychological disorders (such as depression, eating disorders, distorted body image, and low self esteem).

In some embodiments, the 5HT<sub>2C</sub> receptor associated disease is selected from the group consisting of psychiatric symptoms and behaviors in individuals with eating disorders such as, but not limited to, anorexia nervosa and bulimia nervosa. Individuals with eating disorders often demonstrate social isolation. For example, anorexic individuals often present symptoms of being depressed, anxious, obsession, perfectionistic traits, and rigid cognitive styles as well as sexual disinterest. In addition to anorexia nervosa and bulimia nervosa, other eating disorders include, binge eating disorder (compulsive eating) and ED-NOS (i.e., eating disorders not otherwise specified - an official diagnosis). An individual diagnosed with ED-NOS possess atypical eating disorders including situations in which the individual meets all but a few of the criteria for a particular diagnosis. In essence, what the individual is doing with regard to food and weight is neither normal nor healthy.

In some embodiments, the 5HT<sub>2C</sub> receptor associated disease is selected from the group consisting of anorexia athletica (compulsive exercising), body dysmorphic disorder (bigorexia), infection-triggered auto immune subtype of anorexia in children, orthorexia nervosa, night-eating syndrome, nocturnal sleep-related eating disorder, rumination syndrome, gourmand syndrome, Prader-Willi syndrome, pica, and cyclic vomiting syndrome.

Another aspect of the present invention pertains to methods of decreasing food intake of an individual comprising administering to the individual a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof. In some embodiments, the individual is a mammal. Preferably, the mammal is a human. In further embodiments, the human has a body mass index of about 18.5 to about 45. In further embodiments, the human has a body mass index of about 25 to about 45. In further embodiments, the human has a body mass index of about 30 to about 45. In further embodiments, the human has a body mass index of about 35 to about 45.

Another aspect of the present invention pertains to methods of inducing satiety in an individual comprising administering to said individual a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof. In some embodiments, the individual is a mammal. Preferably, the mammal is a human. In further embodiments, the human has a body mass index of about 18.5 to about 45. In further embodiments,

the human has a body mass index of about 25 to about 45. In further embodiments, the human has a body mass index of about 30 to about 45. In further embodiments, the human has a body mass index of about 35 to about 45.

Another aspect of the present invention pertains to methods of controlling weight gain of an individual comprising administering to said individual suffering from weight control a therapeutically effective amount or dose of a compound of the present invention or a pharmaceutical composition thereof. In some embodiments, the individual is a mammal. Preferably, the mammal is a human. In further embodiments, the human has a body mass index of about 18.5 to about 45. In further embodiments, the human has a body mass index of about 25 to about 45. In further embodiments, the human has a body mass index of about 30 to about 45. In further embodiments, the human has a body mass index of about 35 to about 45.

Another aspect of the present invention pertains to methods of producing a pharmaceutical composition comprising admixing at least one compound of the present invention and at least one pharmaceutically acceptable carrier.

Another aspect of the present invention pertains to compounds, as described herein, for use in a method of prophylaxis or treatment of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea of the human or animal body by therapy.

Another aspect of the present invention pertains to use of compounds, as described herein, for the manufacture of a medicament for use in the treatment or prophylaxis of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea.

In some embodiments, the disorders of the central nervous system are selected the group consisting of depression, atypical depression, bipolar disorders, anxiety disorders, obsessive-compulsive disorders, social phobias or panic states, sleep disorders, sexual dysfunction, psychoses, schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, chronic fatigue syndrome, drug and alcohol addiction, obesity, bulimia, anorexia nervosa and premenstrual tension. In further embodiments, the disorder of the central nervous system is obesity. In further embodiments, the disorder of the central nervous system is Alzheimer disease. In further embodiments, the sexual dysfunction is Male erectile dysfunction.

In some embodiments, the damage to the central nervous system is by trauma, stroke,

neurodegenerative diseases, toxic CNS diseases or infective CNS diseases. In further embodiments, the damage to the central nervous system is by encephalitis or meningitis.

In some embodiments, the cardiovascular disorder is thrombosis.

5 In some embodiments, the gastrointestinal disorder is dysfunction of gastrointestinal motility.

Another aspect of the present invention pertains to methods of producing a pharmaceutical composition comprising admixing at least one compound of the present invention and at least one pharmaceutically acceptable carrier.

10 Another aspect of the present invention pertains to compounds, as described herein, for use in a method of treatment of the human or animal body by therapy.

Another aspect of the present invention pertains to compounds, as described herein, for use in a method of prophylaxis or treatment of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea of the human or animal body by therapy.

15 Another aspect of the present invention pertains to use of compounds, as described herein, for the manufacture of a medicament for use in the treatment or prophylaxis of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea.

20 Another aspect of the present invention pertains to the use of a compound of the present invention with agonist activity at the serotonin 5HT<sub>2C</sub> receptor for the treatment and/or prophylaxis of AD and AD related disorders. The compounds of the present invention can be used alone or in combination with another agent or agents (such as but not limited to AChE inhibitors) that are typically prescribed for AD.

25 **Combination Therapy - Prophylaxis and Treatment**

In the context of the present invention, a compound of Formula (I) or pharmaceutical composition thereof can be utilized for modulating the activity of the 5HT<sub>2C</sub> receptor associated diseases, conditions and/or disorders as described herein. Examples of modulating the activity of 5HT<sub>2C</sub> receptor associated diseases include the prophylaxis or treatment of obesity and/or 30 overweight by decreasing food intake, inducing satiation (i.e., the feeling of fullness), controlling weight gain, decreasing body weight and/or affecting metabolism such that the recipient loses weight and/or maintains weight. Such compounds and pharmaceutical compositions can therefore be used in the context of disorders and/or diseases where weight gain is a component of a disease and/or disorder such as those listed herein. Furthermore, compounds and composition of the

present invention can be used for the prophylaxis and/or treatment of Alzheimer Disease, erectile dysfunction and other 5HT<sub>2C</sub> receptor associated diseases and/or disorders described herein.

While the compounds of the invention can be administered as the sole active pharmaceutical agent (i.e., mono-therapy), they can also be used in combination with other pharmaceutical agents (i.e., combination-therapy) for the treatment of the diseases/conditions/disorders described herein. Therefore, another aspect of the present invention includes methods of prophylaxis and/or treatment comprising administering to an individual in need of prophylaxis and/or treatment a therapeutically effective amount of a compound of the present invention, for example Formul (I), in combination with one or more additional pharmaceutical agent as described herein.

Suitable pharmaceutical agents that can be used in combination with the compounds of the present invention include anti-obesity agents such as apolipoprotein-B secretion/microsomal triglyceride transfer protein (apo-B/MTP) inhibitors, MCR-4 agonists, cholecystokinin-A (CCK-A) agonists, serotonin and norepinephrine reuptake inhibitors (for example, sibutramine), sympathomimetic agensts,  $\beta_3$  adrenergic receptor agonists, dopamine agonists (for example, bromocriptine), melanocyte-stimulating hormone receptor analogs, cannabinoid 1 receptor antagonists [for example, SR141716: *N*-(piperidin-1-yl)-5-(4-chlorophenyl)-1-(2,4-dichlorophenyl)-4-methyl-1*H*-pyrazole-3-carboxamide], melanin concentrating hormone antagonists, leptons (the OB protein), leptin analogues, leptin receptor agonists, galanin antagonists, lipase inhibitors (such as tetrahydrolipstatin, i.e., Orlistat), anorectic agents (such as a bombesin agonist), Neuropeptide-Y antagonists, thyromimetic agents, dehydroepiandrosterone or an analogue thereof, glucocorticoid receptor agonists or antagonists, orexin receptor antagonists, urocortin binding protein antagonists, glucagon-like peptide-1 receptor agonists, ciliary neutrotrophic factors (such as Axokine™ available from Regeneron Pharmaceuticals, Inc., Tarrytown, NY and Procter & Gamble Company, Cincinnati, OH), human agouti-related proteins (AGRP), ghrelin receptor antagonists, histamine 3 receptor antagonists or reverse agonists, neuromedin U receptor agonists, noradrenergic anorectic agents (for example, phentermine, mazindol and the like) and appetite suppressants (for example, bupropion).

Other anti-obesity agents, including the agents set forth *infra*, are well known, or will be readily apparent in light of the instant disclosure, to one of ordinary skill in the art.

In some embodiments, the anti-obesity agents are selected from the group consisting of orlistat, sibutramine, bromocriptine, ephedrine, leptin, and pseudoephedrine. In a further embodiment, compounds of the present invention and combination therapies are administered in conjunction with exercise and/or a sensible diet.

It will be understood that the scope of combination-therapy of the compounds of the present invention with other anti-obesity agents, anorectic agents, appetite suppressant and related agents is not limited to those listed above, but includes in principle any combination with any pharmaceutical agent or pharmaceutical composition useful for the treatment of overweight and obese individuals.

Other suitable pharmaceutical agents, in addition to anti-obesity agents, that can be used in combination with the compounds of the present invention include agents useful in the treatment of concomitant diseases. For example, individuals that are over weight or obese increase their risk of morbidity and mortality arising from concomitant diseases, such as, but not limited to, congestive heart failure, type II diabetes, atherosclerosis, dyslipidemia, hyperinsulinemia, hypertension, insulin resistance, hyperglycemia, retinopathy, nephropathy and neuropathy. Treatment for one or more of the diseases cited herein include the use of one or more pharmaceutical agents known in the art belonging to the classes of drugs referred to, but not limited to, the following: sulfonylureas, meglitinides, biguanides,  $\alpha$ -glucosidase inhibitors, peroxisome proliferators-activated receptor- $\gamma$  (i.e., PPAR- $\gamma$ ) agonists, insulin, insulin analogues, HMG-CoA reductase inhibitors, cholesterol-lowering drugs (for example, fibrates that include: fenofibrate, bezafibrate, gemfibrozil, clofibrate and the like; bile acid sequestrants which include: cholestyramine, colestipol and the like; and niacin), antiplatelet agents (for example, aspirin and adenosine diphosphate receptor antagonists that include: clopidogrel, ticlopidine and the like), angiotensin-converting enzyme inhibitors, angiotensin II receptor antagonists and adiponectin. In accordance to one aspect of the present invention, a compound of the present can be used in combination with a pharmaceutical agent or agents belonging to one or more of the classes of drugs cited herein.

It will be understood that the scope of combination-therapy of the compounds of the present invention with other pharmaceutical agents is not limited to those listed herein, *supra* or *infra*, but includes in principle any combination with any pharmaceutical agent or pharmaceutical composition useful for the treatment diseases, conditions or disorders that are linked to overweight and obese individuals.

Some embodiments of the present invention include methods of prophylaxis or treatment of a disease, disorder or condition as described herein comprising administering to an individual in need of such prophylaxis or treatment a therapeutically effect amount or dose of a compound of the present invention in combination with at least one pharmaceutical agent selected from the group consisting of: sulfonylureas, meglitinides, biguanides,  $\alpha$ -glucosidase inhibitors, peroxisome proliferators-activated receptor- $\gamma$  (i.e., PPAR- $\gamma$ ) agonists, insulin, insulin analogues, HMG-CoA reductase inhibitors, cholesterol-lowering drugs (for example, fibrates that include: fenofibrate, bezafibrate, gemfibrozil, clofibrate and the like; bile acid sequestrants which include:

cholestyramine, colestipol and the like; and niacin), antiplatelet agents (for example, aspirin and adenosine diphosphate receptor antagonists that include: clopidogrel, ticlopidine and the like), angiotensin-converting enzyme inhibitors, angiotensin II receptor antagonists and adiponectin. In some embodiments, methods of the present invention include compounds of the present invention and the pharmaceutical agents are administered separately. In further embodiments, compounds of the present invention and the pharmaceutical agents are administered together.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include  $\alpha$ -glucosidase inhibitors.  $\alpha$ -Glucosidase inhibitors belong to the class of drugs which competitively inhibit digestive enzymes such as  $\alpha$ -amylase, maltase,  $\alpha$ -dextrinase, sucrase, etc. in the pancreas and or small intestine. The reversible inhibition by  $\alpha$ -glucosidase inhibitors retard, diminish or otherwise reduce blood glucose levels by delaying the digestion of starch and sugars. Some representative examples of  $\alpha$ -glucosidase inhibitors include acarbose, N-(1,3-dihydroxy-2-propyl)valiolamine (generic name; voglibose), miglitol, and  $\alpha$ -glucosidase inhibitors known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include sulfonylureas. The sulfonylureas (SU) are drugs which promote secretion of insulin from pancreatic  $\beta$  cells by transmitting signals of insulin secretion via SU receptors in the cell membranes. Examples of the sulfonylureas include glyburide, glipizide, glimepiride and other sulfonylureas known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the meglitinides. The meglitinides are benzoic acid derivatives represent a novel class of insulin secretagogues. These agents target postprandial hyperglycemia and show comparable efficacy to sulfonylureas in reducing HbA<sub>1c</sub>. Examples of meglitinides include repaglinide, nateglinide and other meglitinides known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the biguanides. The biguanides represent a class of drugs that stimulate anaerobic glycolysis, increase the sensitivity to insulin in the peripheral tissues, inhibit glucose absorption from the intestine, suppress of hepatic gluconeogenesis, and inhibit fatty acid oxidation. Examples of biguanides include phenformin, metformin, buformin, and biguanides known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the  $\alpha$ -glucosidase inhibitors. The  $\alpha$ -glucosidase inhibitors competitively inhibit digestive enzymes such as  $\alpha$ -amylase, maltase,  $\alpha$ -dextrinase, sucrase, etc. in the pancreas and or small intestine. The reversible inhibition by  $\alpha$ -glucosidase inhibitors retard, diminish or

otherwise reduce blood glucose levels by delaying the digestion of starch and sugars. Examples of  $\alpha$ -glucosidase inhibitors include acarbose, N-(1,3-dihydroxy-2-propyl)valiolamine (generic name; voglibose), miglitol, and  $\alpha$ -glucosidase inhibitors known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the peroxisome proliferators-activated receptor- $\gamma$  (i.e., PPAR- $\gamma$ ) agonists. The peroxisome proliferators-activated receptor- $\gamma$  agonists represent a class of compounds that activates the nuclear receptor PPAR- $\gamma$  and therefore regulate the transcription of insulin-responsive genes involved in the control of glucose production, transport and utilization. Agents in the class also facilitate the regulation of fatty acid metabolism. Examples of PPAR- $\gamma$  agonists include rosiglitazone, pioglitazone, tesaglitazar, netoglitazone, GW-409544, GW-501516 and PPAR- $\gamma$  agonists known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the HMG-CoA reductase inhibitors. The HMG-CoA reductase inhibitors are agents also referred to as Statin compounds that belong to a class of drugs that lower blood cholesterol levels by inhibiting hydroxymethylglutaryl CoA (HMG-CoA) reductase. HMG-CoA reductase is the rate-limiting enzyme in cholesterol biosynthesis. The statins lower serum LDL concentrations by upregulating the activity of LDL receptors and are responsible for clearing LDL from the blood. Some representative examples the statin compounds include rosuvastatin, pravastatin and its sodium salt, simvastatin, lovastatin, atorvastatin, fluvastatin, cerivastatin, 20 rosuvastatin, pitavastatin, BMS's "superstatin", and HMG-CoA reductase inhibitors known in the art.

Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the angiotensin converting enzyme (ACE) inhibitors. The angiotensin converting enzyme inhibitors belong to the class of drugs that partially lower blood glucose levels as well as lowering blood pressure by inhibiting angiotensin converting enzymes. Examples of the angiotensin converting enzyme inhibitors include captopril, enalapril, alacepril, delapril; ramipril, lisinopril, imidapril, benazepril, ceronapril, cilazapril, enalaprilat, fosinopril, moveltropril, perindopril, quinapril, spirapril, temocapril, trandolapril, and angiotensin converting enzyme inhibitors known in the art.

30 Suitable pharmaceutical agents that can be used in conjunction with compounds of the present invention include the angiotensin II receptor antagonists. Angiotensin II receptor antagonists target the angiotensin II receptor subtype 1 (i.e., AT1) and demonstrate a beneficial effect on hypertension. Examples of angiotensin II receptor antagonists include losartan (and the potassium salt form), and angiotensin II receptor antagonists known in the art.

Other treatments for one or more of the diseases cited herein include the use of pharmaceutical agents known in the art belonging to the classes of drugs referred to, but not limited to, the following: amylin agonists (for example, pramlintide), insulin secretagogues (for example, GLP-1 agonists; exendin-4; insulinotropin (NN2211); dipeptidyl peptidase inhibitors (for example, NVP-DPP-728), acyl CoA cholesterol acetyltransferase inhibitors (for example, Ezetimibe, eflucimibe, and like compounds), cholesterol absorption inhibitors (for example, ezetimibe, pamaqueside and like compounds), cholesterol ester transfer protein inhibitors (for example, CP-529414, JTT-705, CETi-1, and like compounds), microsomal triglyceride transfer protein inhibitors (for example, implitapide, and like compounds), cholesterol modulators (for example, NO-1886, and like compounds), bile acid modulators (for example, GT103-279 and like compounds) and squalene synthase inhibitors.

Squalene synthesis inhibitors belong to a class of drugs that lower blood cholesterol levels by inhibiting synthesis of squalene. Examples of the squalene synthesis inhibitors include (S)- $\alpha$ -[Bis[2,2-dimethyl-1-oxopropoxy]methoxy] phosphinyl]-3-phenoxybenzenesulfonic acid, mono potassium salt (BMS-188494) and squalene synthesis inhibitors known in the art.

### Compositions of the Present Invention

According to a further aspect, the present invention also pertains to pharmaceutical compositions comprising one or more compounds of Formula (I) or any formulae disclosed herein, and one or more pharmaceutically acceptable carriers.

Some embodiments of the present invention include a method of producing a pharmaceutical composition comprising admixing at least one compound according to any of the compound embodiments disclosed herein and a pharmaceutically acceptable carrier.

Formulations may be prepared by any suitable method, typically by uniformly mixing the active compound(s) with liquids or finely divided solid carriers, or both, in the required proportions, and then, if necessary, forming the resulting mixture into a desired shape.

Conventional excipients, such as binding agents, fillers, acceptable wetting agents, tabletting lubricants, and disintegrants may be used in tablets and capsules for oral administration. Liquid preparations for oral administration may be in the form of solutions, emulsions, aqueous or oily suspensions, and syrups. Alternatively, the oral preparations may be in the form of dry powder that can be reconstituted with water or another suitable liquid vehicle before use. Additional additives such as suspending or emulsifying agents, non-aqueous vehicles (including edible oils), preservatives, and flavorings and colorants may be added to the liquid preparations. Parenteral dosage forms may be prepared by dissolving the compound of the invention in a suitable liquid

vehicle and filter sterilizing the solution before filling and sealing an appropriate vial or ampoule. These are just a few examples of the many appropriate methods well known in the art for preparing dosage forms.

A compound of the present invention can be formulated into pharmaceutical compositions 5 using techniques well known to those in the art. Suitable pharmaceutically-acceptable carriers, outside those mentioned herein, are known in the art; for example, see Remington, The Science and Practice of Pharmacy, 20<sup>th</sup> Edition, 2000, Lippincott Williams & Wilkins, (Editors: Gennaro, A. R., et al.).

While it is possible that, for use in the prophylaxis or treatment, a compound of the 10 invention may, in an alternative use, be administered as a raw or pure chemical, it is preferable however to present the compound or active ingredient as a pharmaceutical formulation or composition further comprising a pharmaceutically acceptable carrier.

The invention thus further provides pharmaceutical formulations comprising a compound of the invention or a pharmaceutically acceptable salt or derivative thereof together with one or more 15 pharmaceutically acceptable carriers thereof and/or prophylactic ingredients. The carrier(s) must be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not overly deleterious to the recipient thereof.

Pharmaceutical formulations include those suitable for oral, rectal, nasal, topical (including 20 buccal and sub-lingual), vaginal or parenteral (including intramuscular, sub-cutaneous and intravenous) administration or in a form suitable for administration by inhalation, insufflation or by a transdermal patch. Transdermal patches dispense a drug at a controlled rate by presenting the drug for absorption in an efficient manner with a minimum of degradation of the drug. Typically, transdermal patches comprise an impermeable backing layer, a single pressure sensitive adhesive and a removable protective layer with a release liner. One of ordinary skill in the art will 25 understand and appreciate the techniques appropriate for manufacturing a desired efficacious transdermal patch based upon the needs of the artisan.

The compounds of the invention, together with a conventional adjuvant, carrier, or diluent, may thus be placed into the form of pharmaceutical formulations and unit dosages thereof, and in 30 such form may be employed as solids, such as tablets or filled capsules, or liquids such as solutions, suspensions, emulsions, elixirs, gels or capsules filled with the same, all for oral use, in the form of suppositories for rectal administration; or in the form of sterile injectable solutions for parenteral (including subcutaneous) use. Such pharmaceutical compositions and unit dosage forms thereof may comprise conventional ingredients in conventional proportions, with or without additional active compounds or principles, and such unit dosage forms may contain any suitable effective

amount of the active ingredient commensurate with the intended daily dosage range to be employed.

For oral administration, the pharmaceutical composition may be in the form of, for example, a tablet, capsule, suspension or liquid. The pharmaceutical composition is preferably made in the form of a dosage unit containing a particular amount of the active ingredient. Examples 5 of such dosage units are capsules, tablets, powders, granules or a suspension, with conventional additives such as lactose, mannitol, corn starch or potato starch; with binders such as crystalline cellulose, cellulose derivatives, acacia, corn starch or gelatins; with disintegrators such as corn starch, potato starch or sodium carboxymethyl-cellulose; and with lubricants such as talc or magnesium stearate. The active ingredient may also be administered by injection as a composition 10 wherein, for example, saline, dextrose or water may be used as a suitable pharmaceutically acceptable carrier.

Compounds of the present invention or a solvate or physiologically functional derivative thereof can be used as active ingredients in pharmaceutical compositions, specifically as 5HT<sub>2C</sub> receptor agonists. By the term "active ingredient" is defined in the context of a "pharmaceutical 15 composition" and shall mean a component of a pharmaceutical composition that provides the primary pharmacological effect, as opposed to an "inactive ingredient" which would generally be recognized as providing no pharmaceutical benefit.

The dose when using the compounds of the present invention can vary within wide limits, and as is customary and is known to the physician, it is to be tailored to the individual conditions in 20 each individual case. It depends, for example, on the nature and severity of the illness to be treated, on the condition of the patient, on the compound employed or on whether an acute or chronic disease state is treated or prophylaxis is conducted or on whether further active compounds are administered in addition to the compounds of the present invention. Representative doses of the present invention include, but not limited to, about 0.001 mg to about 5000 mg, about 0.001 to 25 about 2500 mg, about 0.001 to about 1000 mg, 0.001 to about 500 mg, 0.001 mg to about 250 mg, about 0.001 mg to 100 mg, about 0.001 mg to about 50 mg, and about 0.001 mg to about 25 mg. Multiple doses may be administered during the day, especially when relatively large amounts are 30 deemed to be needed, for example 2, 3 or 4, doses. Depending on the individual and as deemed appropriate from the patient's physician or care-giver it may be necessary to deviate upward or downward from the doses described herein.

The amount of active ingredient, active salt or hydrate thereof, required for use in treatment will vary not only with the particular salt selected but also with the route of administration, the nature of the condition being treated and the age and condition of the patient and will ultimately be at the discretion of the attendant physician or clinician.

In general, one skilled in the art understands how to extrapolate *in vivo* data obtained in a model system, typically an animal model, to another, such as a human. Typically, animal models include, but are not limited to, rodent models. In some circumstances, these extrapolations may merely be based on the weight of the animal model in comparison to another, such as a mammal, 5 preferably a human, however, more often, these extrapolations are not simply based on weights, but rather incorporate a variety of factors. Representative factors include, but are not limited to, the type, age, weight, sex, diet and medical condition of the patient, the severity of the disease, the route of administration, pharmacological considerations such as the activity, efficacy, pharmacokinetic and toxicology profiles of the particular compound employed, whether a drug delivery system is 10 utilized, on whether an acute or chronic disease state is being treated or prophylaxis is conducted or on whether further active compounds are administered in addition to the compounds of the Formula (I) as part of combination-therapy. The dosage regimen for treating a disease condition with the compounds and/or compositions of the present invention is selected in accordance with a variety 15 factors as cited above. Thus, the actual dosage regimen employed may vary widely and therefore may deviate from a preferred dosage regimen and one skilled in the art will recognize that dosage and dosage regimen outside these typical ranges can be tested and, where appropriate, may be used in the methods of this invention.

The desired dose may conveniently be presented in a single dose or as divided doses administered at appropriate intervals, for example, as two, three, four or more sub-doses per day. 20 The sub-dose itself may be further divided, e.g., into a number of discrete loosely spaced administrations. The daily dose can be divided, especially when relatively large amounts are administered as deemed appropriate, into several, for example 2, 3 or 4, part administrations. If appropriate, depending on individual behavior, it may be necessary to deviate upward or downward from the daily dose indicated.

25 The compounds of the present invention can be administrated in a wide variety of oral and parenteral dosage forms. It will be obvious to those skilled in the art that the following dosage forms may comprise, as the active component, either a compound of the invention or a pharmaceutically acceptable salt of a compound of the invention.

For preparing pharmaceutical compositions from the compounds of the present invention, 30 the selection of a suitable pharmaceutically acceptable carrier can be either solid, liquid or a mixture of both. Solid form preparations include powders, tablets, pills, capsules, cachets, suppositories, and dispersible granules. A solid carrier can be one or more substances which may also act as diluents, flavouring agents, solubilizers, lubricants, suspending agents, binders, preservatives, tablet disintegrating agents, or an encapsulating material.

In powders, the carrier is a finely divided solid which is in a mixture with the finely divided active component.

In tablets, the active component is mixed with the carrier having the necessary binding capacity in suitable proportions and compacted to the desire shape and size.

5       The powders and tablets may contain varying percentage amounts of the active compound. A representative amount in a powder or tablet may contain from 0.5 to about 90 percent of the active compound; however, an artisan would know when amounts outside of this range are necessary. Suitable carriers for powders and tablets are magnesium carbonate, magnesium stearate, talc, sugar, lactose, pectin, dextrin, starch, gelatin, tragacanth, methylcellulose, sodium  
10 carboxymethylcellulose, a low melting wax, cocoa butter, and the like. The term "preparation" is intended to include the formulation of the active compound with encapsulating material as carrier providing a capsule in which the active component, with or without carriers, is surrounded by a carrier, which is thus in association with it. Similarly, cachets and lozenges are included. Tablets, powders, capsules, pills, cachets, and lozenges can be used as solid forms suitable for oral  
15 administration.

For preparing suppositories, a low melting wax, such as an admixture of fatty acid glycerides or cocoa butter, is first melted and the active component is dispersed homogeneously therein, as by stirring. The molten homogenous mixture is then poured into convenient sized molds, allowed to cool, and thereby to solidify.

20      Formulations suitable for vaginal administration may be presented as pessaries, tampons, creams, gels, pastes, foams or sprays containing in addition to the active ingredient such carriers as are known in the art to be appropriate.

Liquid form preparations include solutions, suspensions, and emulsions, for example, water or water-propylene glycol solutions. For example, parenteral injection liquid preparations can be  
25 formulated as solutions in aqueous polyethylene glycol solution. Injectable preparations, for example, sterile injectable aqueous or oleaginous suspensions may be formulated according to the known art using suitable dispersing or wetting agents and suspending agents. The sterile injectable preparation may also be a sterile injectable solution or suspension in a nontoxic parenterally acceptable diluent or solvent, for example, as a solution in 1,3-butanediol. Among the acceptable vehicles and solvents that may be employed are water, Ringer's solution, and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil may be employed including synthetic mono- or diglycerides. In addition, fatty acids such as oleic acid find use in the preparation of injectables.

The compounds according to the present invention may thus be formulated for parenteral administration (e.g. by injection, for example bolus injection or continuous infusion) and may be presented in unit dose form in ampoules, pre-filled syringes, small volume infusion or in multi-dose containers with an added preservative. The pharmaceutical compositions may take such forms as 5 suspensions, solutions, or emulsions in oily or aqueous vehicles, and may contain formulatory agents such as suspending, stabilizing and/or dispersing agents. Alternatively, the active ingredient may be in powder form, obtained by aseptic isolation of sterile solid or by lyophilization from solution, for constitution with a suitable vehicle, e.g. sterile, pyrogen-free water, before use.

Aqueous solutions suitable for oral use can be prepared by dissolving the active component 10 in water and adding suitable colorants, flavours, stabilizing and thickening agents, as desired.

Aqueous suspensions suitable for oral use can be made by dispersing the finely divided active component in water with viscous material, such as natural or synthetic gums, resins, methylcellulose, sodium carboxymethylcellulose, or other well known suspending agents.

Also included are solid form preparations which are intended to be converted, shortly 15 before use, to liquid form preparations for oral administration. Such liquid forms include solutions, suspensions, and emulsions. These preparations may contain, in addition to the active component, colorants, flavors, stabilizers, buffers, artificial and natural sweeteners, dispersants, thickeners, solubilizing agents, and the like.

For topical administration to the epidermis the compounds according to the invention may 20 be formulated as ointments, creams or lotions, or as a transdermal patch.

Ointments and creams may, for example, be formulated with an aqueous or oily base with the addition of suitable thickening and/or gelling agents. Lotions may be formulated with an aqueous or oily base and will in general also contain one or more emulsifying agents, stabilizing agents, dispersing agents, suspending agents, thickening agents, or coloring agents.

25 Formulations suitable for topical administration in the mouth include lozenges comprising active agent in a flavored base, usually sucrose and acacia or tragacanth; pastilles comprising the active ingredient in an inert base such as gelatin and glycerin or sucrose and acacia; and mouthwashes comprising the active ingredient in a suitable liquid carrier.

Solutions or suspensions are applied directly to the nasal cavity by conventional means, for 30 example with a dropper, pipette or spray. The formulations may be provided in single or multi-dose form. In the latter case of a dropper or pipette, this may be achieved by the patient administering an appropriate, predetermined volume of the solution or suspension. In the case of a spray, this may be achieved for example by means of a metering atomizing spray pump.

Administration to the respiratory tract may also be achieved by means of an aerosol

formulation in which the active ingredient is provided in a pressurized pack with a suitable propellant. If the compounds of the Formula (I) or pharmaceutical compositions comprising them are administered as aerosols, for example as nasal aerosols or by inhalation, this can be carried out, for example, using a spray, a nebulizer, a pump nebulizer, an inhalation apparatus, a metered inhaler 5 or a dry powder inhaler. Pharmaceutical forms for administration of the compounds of the Formula (I) as an aerosol can be prepared by processes well-known to the person skilled in the art. For their preparation, for example, solutions or dispersions of the compounds of the Formula (I) in water, water/alcohol mixtures or suitable saline solutions can be employed using customary additives, for example benzyl alcohol or other suitable preservatives, absorption enhancers for increasing the 10 bioavailability, solubilizers, dispersants and others, and, if appropriate, customary propellants, for example include carbon dioxide, CFC's, such as, dichlorodifluoromethane, trichlorofluoromethane, or dichlorotetrafluoroethane; and the like. The aerosol may conveniently also contain a surfactant such as lecithin. The dose of drug may be controlled by provision of a metered valve.

In formulations intended for administration to the respiratory tract, including intranasal 15 formulations, the compound will generally have a small particle size for example of the order of 10 microns or less. Such a particle size may be obtained by means known in the art, for example by micronization. When desired, formulations adapted to give sustained release of the active ingredient may be employed.

Alternatively the active ingredients may be provided in the form of a dry powder, for 20 example, a powder mix of the compound in a suitable powder base such as lactose, starch, starch derivatives such as hydroxypropylmethyl cellulose and polyvinylpyrrolidone (PVP). Conveniently the powder carrier will form a gel in the nasal cavity. The powder composition may be presented in unit dose form for example in capsules or cartridges of, e.g., gelatin, or blister packs from which the powder may be administered by means of an inhaler.

The pharmaceutical preparations are preferably in unit dosage forms. In such form, the 25 preparation is subdivided into unit doses containing appropriate quantities of the active component. The unit dosage form can be a packaged preparation, the package containing discrete quantities of preparation, such as packeted tablets, capsules, and powders in vials or ampoules. Also, the unit dosage form can be a capsule, tablet, cachet, or lozenge itself, or it can be the appropriate number of 30 any of these in packaged form.

Tablets or capsules for oral administration and liquids for intravenous administration are preferred compositions.

The compounds according to the invention may optionally exist as pharmaceutically acceptable salts including pharmaceutically acceptable acid addition salts prepared from

pharmaceutically acceptable non-toxic acids including inorganic and organic acids. Representative acids include, but are not limited to, acetic, benzenesulfonic, benzoic, camphorsulfonic, citric, ethenesulfonic, dichloroacetic, formic, fumaric, gluconic, glutamic, hippuric, hydrobromic, hydrochloric, isethionic, lactic, maleic, malic, mandelic, methanesulfonic, mucic, nitric, oxalic, 5 pamoic, pantothenic, phosphoric, succinic, sulfiric, tartaric, oxalic, p-toluenesulfonic and the like, such as those pharmaceutically acceptable salts listed in Journal of Pharmaceutical Science, 66, 2 (1977); incorporated herein by reference in its entirety.

The acid addition salts may be obtained as the direct products of compound synthesis. In the alternative, the free base may be dissolved in a suitable solvent containing the appropriate acid, 10 and the salt isolated by evaporating the solvent or otherwise separating the salt and solvent. The compounds of this invention may form solvates with standard low molecular weight solvents using methods known to the skilled artisan.

Compounds of the present invention can be converted to "pro-drugs." The term "pro-drugs" refers to compounds that have been modified with specific chemical groups known in the art 15 and when administered into an individual these groups undergo biotransformation to give the parent compound. Pro-drugs can thus be viewed as compounds of the invention containing one or more specialized non-toxic protective groups used in a transient manner to alter or to eliminate a property of the compound. In general, the "pro-drug" approach is utilized to facilitate oral absorption. A thorough discussion is provided in T. Higuchi and V. Stella, "Pro-drugs as Novel Delivery 20 Systems," Vol. 14 of the A.C.S. Symposium Series, and in Bioreversible Carriers in Drug Design, ed. Edward B. Roche, American Pharmaceutical Association and Pergamon Press, 1987, both of which are hereby incorporated by reference in their entirety.

Some embodiments of the present invention include a method of producing a pharmaceutical composition for "combination-therapy" comprising admixing at least one compound 25 according to any of the compound embodiments disclosed herein, at least one pharmaceutical agent as described herein and a pharmaceutically acceptable carrier.

In some embodiments the pharmaceutical agents is selected from the group consisting of: apolipoprotein-B secretion/microsomal triglyceride transfer protein (apo-B/MTP) inhibitors, MCR-4 agonists, cholecystokinin-A (CCK-A) agonists, serotonin and norepinephrine reuptake inhibitors 30 (for example, sibutramine), sympathomimetic agensts,  $\beta_3$  adrenergic receptor agonists, dopamine agonists (for example, bromocriptine), melanocyte-stimulating hormone receptor analogs, cannabinoid 1 receptor antagonists [for example, SR141716: *N*-(piperidin-1-yl)-5-(4-chlorophenyl)-1-(2,4-dichlorophenyl)-4-methyl-1*H*-pyrazole-3-carboxamide], melanin concentrating hormone antagonists, leptons (the OB protein), leptin analogues, leptin receptor agonists, galanin antagonists,

lipase inhibitors (such as tetrahydrolipstatin, i.e., Orlistat), anorectic agents (such as a bombesin agonist), Neuropeptide-Y antagonists, thyromimetic agents, dehydroepiandrosterone or an analogue thereof, glucocorticoid receptor agonists or antagonists, orexin receptor antagonists, urocortin binding protein antagonists, glucagon-like peptide-1 receptor agonists, ciliary neutrotrophic factors (such as Axokine™), human agouti-related proteins (AGRP), ghrelin receptor antagonists, histamine 3 receptor antagonists or reverse agonists, neuromedin U receptor agonists, noradrenergic anorectic agents (for example, phentermine, mazindol and the like) and appetite suppressants (for example, bupropion). In further embodiments, the pharmaceutical agent is selected from the group consisting of orlistat, sibutramine, bromocriptine, ephedrine, leptin, and pseudoephedrine.

In some embodiments the pharmaceutical agents is selected from the group consisting of: sulfonylureas, meglitinides, biguanides,  $\alpha$ -glucosidase inhibitors, peroxisome proliferators-activated receptor- $\gamma$  (i.e., PPAR- $\gamma$ ) agonists, insulin, insulin analogues, HMG-CoA reductase inhibitors, cholesterol-lowering drugs (for example, fibrates that include: fenofibrate, bezafibrate, gemfibrozil, clofibrate and the like; bile acid sequestrants which include: cholestyramine, colestipol and the like; and niacin), antiplatelet agents (for example, aspirin and adenosine diphosphate receptor antagonists that include: clopidogrel, ticlopidine and the like), angiotensin-converting enzyme inhibitors, angiotensin II receptor antagonists and adiponectin.

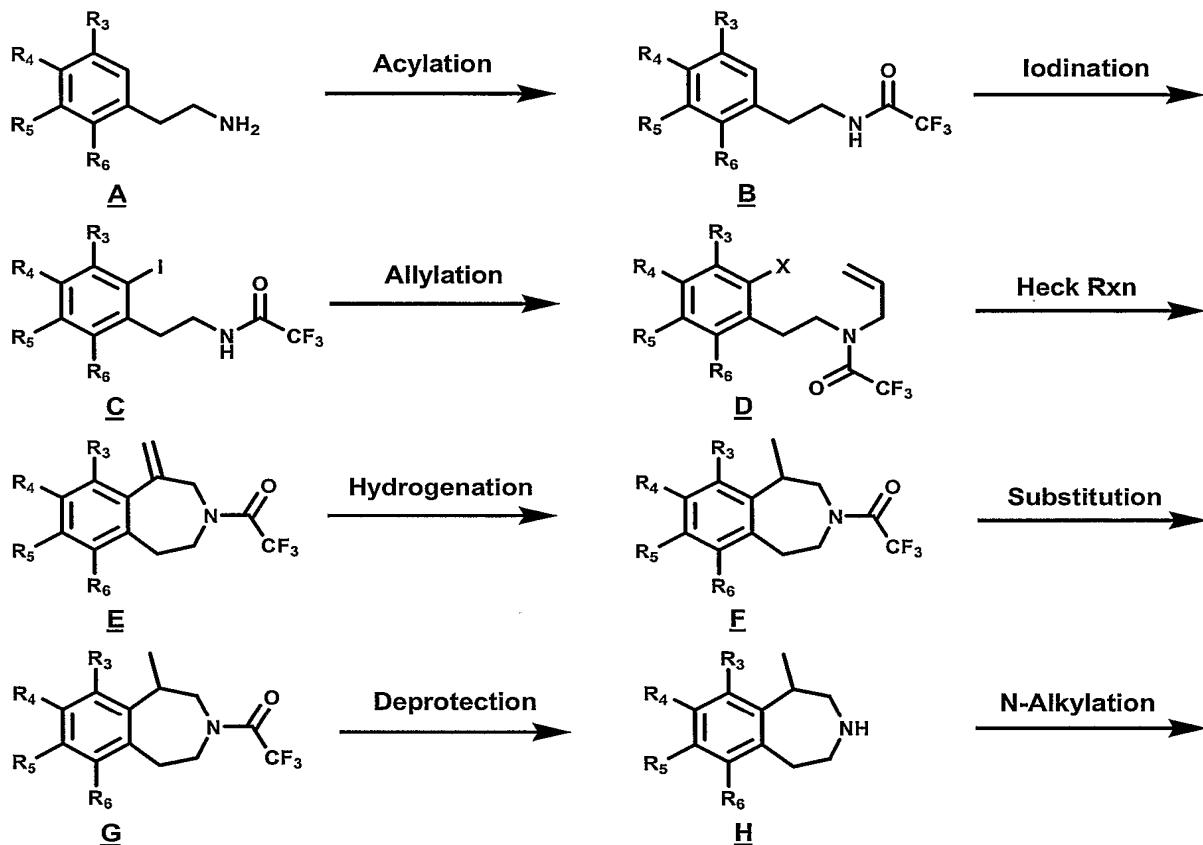
It is noted that when the 5HT<sub>2C</sub> receptor agonists are utilized as active ingredients in a pharmaceutical composition, these are not intended for use only in humans, but in other non-human mammals as well. Indeed, recent advances in the area of animal health-care mandate that consideration be given for the use of 5HT<sub>2C</sub> receptor agonists for the treatment of obesity in domestic animals (e.g., cats and dogs), and 5HT<sub>2C</sub> receptor agonists in other domestic animals where no disease or disorder is evident (e.g., food-oriented animals such as cows, chickens, fish, etc.). Those of ordinary skill in the art are readily credited with understanding the utility of such compounds in such settings.

### Preparation of Compounds of the Invention

In the illustrated syntheses outlined below, the labeled substituents have the same identifications as set out in the definitions of the compounds of the present invention of Formula (I) and the Formulae of the subgenera as described herein.

Those of skill in the art will appreciate the wide variety of compounds of the present invention can be prepared according to Schemes I through V, *Infra*. One representative synthesis is set forth below in Scheme I, for when R<sub>2</sub> is methyl:

Scheme I



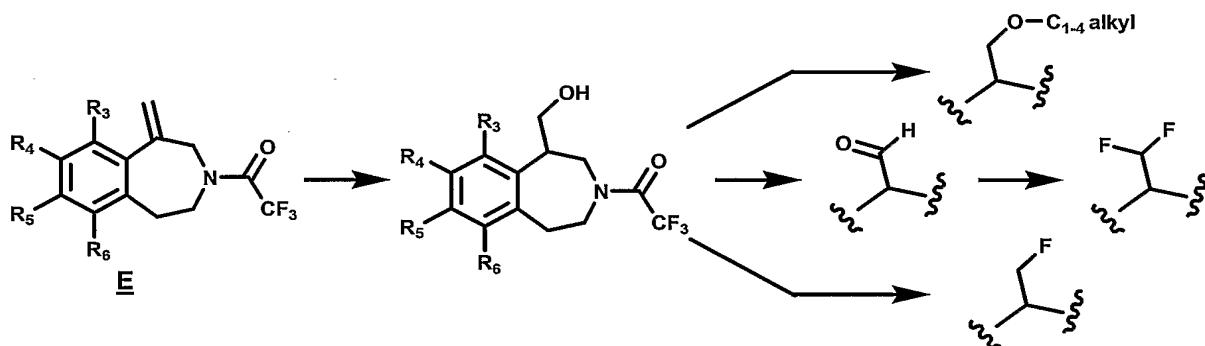
By utilizing, for example, an appropriately substituted 2-phenyl ethylamino Compound A having any of a wide variety of substituents R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> the corresponding substituted 1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine (Compound H) can be prepared. In a subsequent step, Compound H can be readily alkylated by, for example, treatment with excess formaldehyde or formaldehyde equivalent such as paraformaldehyde (for methylation) or a higher order aldehyde, followed by reduction with NaBH<sub>3</sub>CN or similar reducing agent according to methodologies known in the art.

In addition, numerous synthetic transformations can be performed at different stages in the pathway illustrated in Scheme I to prepare additional compounds of Formula (I). For example, Compound E can be converted into a number of compounds of the invention including, R<sub>2</sub> = -CH<sub>2</sub>OH. In this case, the double bond of Compound E can be hydroborated using methods known in the art, such as diborane, disiamylborane and the like, to give a primary alcohol after oxidative workup (i.e., H<sub>2</sub>O<sub>2</sub>). Either the N-protection can be removed to give desired compounds of the invention or the primary alcohol can be subsequently converted to an ether using methods known in the art such as, for example, the Williamson ether procedure, using an alkyl halide in the presence

of a base. In this example, the N-protection can be removed to give compounds of Formula (I) wherein R<sub>2</sub> is the group CH<sub>2</sub>O-C<sub>1-4</sub> alkyl. Alternatively, the primary alcohol can be fluorinated using reagents known in the art, such as dialkylaminosulfur trifluorides and the like. Certain dialkylaminosulfur trifluorides include, but not limited to, bis(2-methoxyethyl)amino-sulfur trifluoride, (diethylamino)sulfur trifluoride, (dimethylamino)sulfur trifluoride, morpholinosulfur trifluoride and the like. Treatment with a fluorinating agent can give monofluoroalkyl compounds of Formula (I), wherein R<sub>2</sub> is -CH<sub>2</sub>F. In addition, the primary alcohol prepared from Compound E can be further oxidized to give the corresponding aldehyde and in a similar manner subsequently converted to difluoroalkyl compounds of Formula (I), wherein R<sub>2</sub> is -CHF<sub>2</sub>.

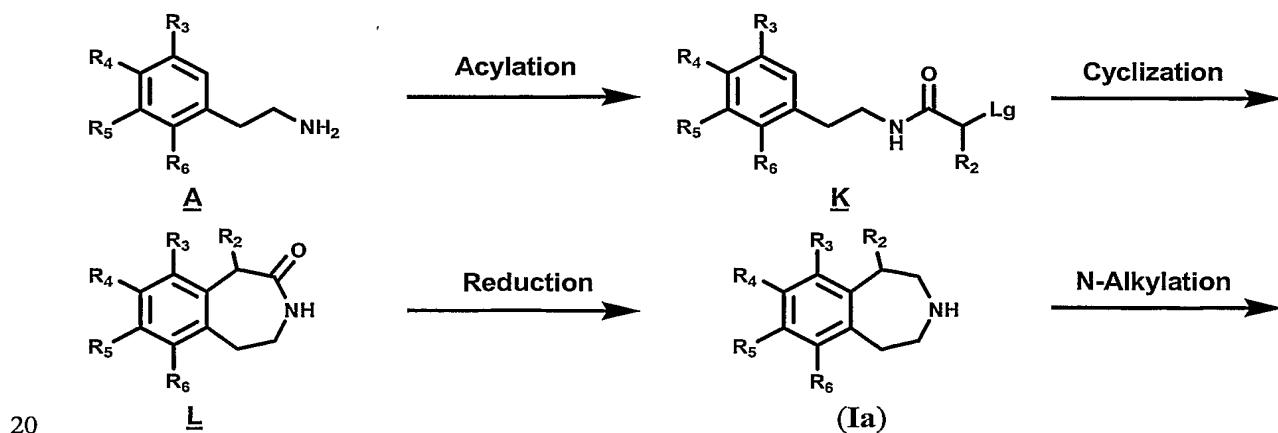
Reaction Scheme II is provided below showing these illustrative transformations and is not intended to be limiting:

Scheme II



Another representative synthetic pathway for the preparation of compounds of Formula (I) is set forth below in Reaction Scheme III:

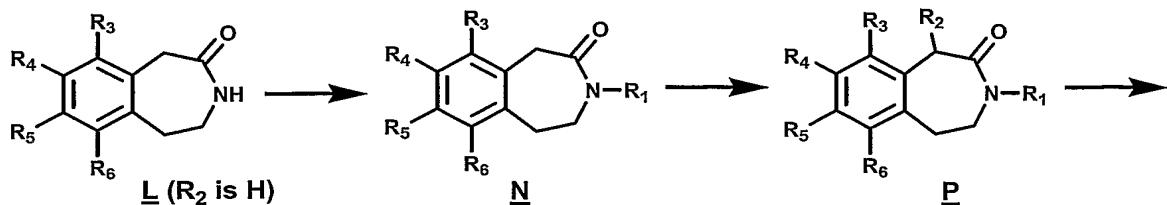
Scheme III:

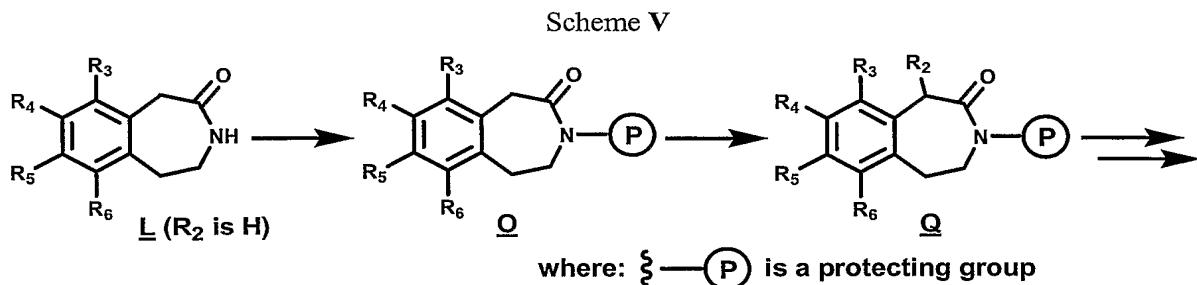


By utilizing, for example, an appropriately substituted 2-phenyl ethylamino Compound A having any of a wide variety of substituents R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> the corresponding 1-substituted-2,3,4,5-tetrahydro-1*H*-3-benzazepine [i.e., a compound of Formula (**Ia**)] can be prepared. Scheme **III** illustrates one general pathway for the introduction of R<sub>2</sub> groups into the compounds of the present invention. Compound A is acylated with a carboxylic acid derivative using one of the many methods, such as one of the commonly known coupling agents, available to the artisan. A particularly useful method uses an acid chloride as described in the Examples, *Infra*. The carboxylic acid derivative is selected to possess a leaving group or a moiety that can be converted into a leaving group (i.e., Lg). The resulting Compound K is cyclized in the presence of a Lewis Acid, such as, for example, aluminum chloride. After reduction, compounds of the invention are obtained wherein R<sub>1</sub> is H [i.e., a compound of Formula (**Ia**)].

One alternate synthetic approach that can be used to prepare compounds of the present invention utilizes Compound L (i.e., R<sub>2</sub> is H). In this method, the amide nitrogen is first alkylated (providing the R<sub>1</sub> group, Compound N) or protected (i.e., Compound Q) using any number of the methods known in the art. The R<sub>2</sub> group is subsequently introduced via an alkylation reaction to provide Compounds P and Q respectively. Alkylation reactions can be conducted under basic conditions, for example, using DMF/NaH, and an alkylating agent of the formula R<sub>2</sub>-Lg (wherein: R<sub>2</sub> has the same meaning as described herein and Lg is a leaving group known in the art, such as, Cl, Br, I, OMs, OTs and the like). Examples of the alkylating agent include, but are not limited to, CH<sub>3</sub>I, CH<sub>3</sub>OMs, CH<sub>3</sub>OTs, CH<sub>3</sub>CH<sub>2</sub>I, CF<sub>3</sub>CH<sub>2</sub>I, CF<sub>3</sub>I, CH<sub>3</sub>OCH<sub>2</sub>Cl and the like. A representative alkylation example has been reported by Orito, K. and Matsuzaki, T. in Tetrahedron, 1980, 36, 81, 1017-1021 and is incorporated herein by reference in its entirety. In the example when the nitrogen is protected (i.e., Compound Q), the protecting group is first removed and the amide reduced to provide compounds of the invention wherein R<sub>1</sub> is H. In the example where the nitrogen is alkylated (i.e., Compound P), then the amide is merely reduced to provide compounds wherein R<sub>1</sub> is alkyl. This method is illustrated in Schemes **IV** and **V** below:

Scheme IV





Those of skill in the art will appreciate that a wide variety of compounds of the present invention can be prepared according to Schemes I through V.

Protecting groups may be required for various functionality or functionalities during the synthesis of some of the compounds of the invention. Accordingly, representative protecting groups that are suitable for a wide variety of synthetic transformations are disclosed in Greene and Wuts, *Protective Groups in Organic Synthesis*, 3rd edition, John Wiley & Sons, New York, 1999, the disclosure of which is incorporated herein by reference in its entirety.

As described herein, compounds of the present invention can exist in various forms, for example, enantiomers and racemates. It is understood that the optically active forms can be obtained by resolution of the racemates, separated by chiral chromatography or by asymmetric synthesis using methods known in the art to obtain enantiomers.

### 15 Other Utilities

Another object of the present invention relates to radio-labeled compounds of Formula (I) that would be useful not only in radio-imaging but also in assays, both *in vitro* and *in vivo*, for localizing and quantitating the 5HT<sub>2C</sub> receptor in tissue samples, including human, and for identifying 5HT<sub>2C</sub> receptor ligands by inhibition binding of a radio-labeled compound. It is a further object of this invention to develop novel 5HT<sub>2C</sub> receptor assays of which comprise such radio-labeled compounds.

The present invention embraces isotopically-labeled compounds of Formula (I) and any subgenera herein, such as but not limited to, Formula (Ia) through Formula (Is). An “isotopically” or “radio-labeled” compounds are those which are identical to compounds disclosed herein, but for the fact that one or more atoms are replaced or substituted by an atom having an atomic mass or mass number different from the atomic mass or mass number typically found in nature (i.e., naturally occurring). Suitable radionuclides that may be incorporated in compounds of the present invention include but are not limited to <sup>2</sup>H (also written as D for deuterium), <sup>3</sup>H (also written as T for tritium), <sup>11</sup>C, <sup>13</sup>C, <sup>14</sup>C, <sup>13</sup>N, <sup>15</sup>N, <sup>15</sup>O, <sup>17</sup>O, <sup>18</sup>O, <sup>18</sup>F, <sup>35</sup>S, <sup>36</sup>Cl, <sup>82</sup>Br, <sup>75</sup>Br, <sup>76</sup>Br, <sup>77</sup>Br, <sup>123</sup>I, <sup>124</sup>I, <sup>125</sup>I and <sup>131</sup>I. The radionuclide that is incorporated in the instant radio-labeled compounds will depend

on the specific application of that radio-labeled compound. For example, for *in vitro* 5HT<sub>2C</sub> receptor labeling and competition assays, compounds that incorporate <sup>3</sup>H, <sup>14</sup>C, <sup>82</sup>Br, <sup>125</sup>I, <sup>131</sup>I, <sup>35</sup>S or will generally be most useful. For radio-imaging applications <sup>11</sup>C, <sup>18</sup>F, <sup>125</sup>I, <sup>123</sup>I, <sup>124</sup>I, <sup>131</sup>I, <sup>75</sup>Br, <sup>76</sup>Br or <sup>77</sup>Br will generally be most useful.

5 It is understood that a “radio-labeled” or “labeled compound” is a compound of Formula (I) that has incorporated at least one radionuclide; in some embodiments the radionuclide is selected from the group consisting of <sup>3</sup>H, <sup>14</sup>C, <sup>125</sup>I, <sup>35</sup>S and <sup>82</sup>Br.

10 Certain isotopically-labeled compounds of the present invention are useful in compound and/or substrate tissue distribution assays. In some embodiments the radionuclide <sup>3</sup>H and/or <sup>14</sup>C isotopes are useful in these studies. Further, substitution with heavier isotopes such as deuterium (i.e., <sup>2</sup>H) may afford certain therapeutic advantages resulting from greater metabolic stability (e.g., increased *in vivo* half-life or reduced dosage requirements) and hence may be preferred in some 15 circumstances. Isotopically labeled compounds of the present invention can generally be prepared by following procedures analogous to those disclosed in the Schemes *supra* and Examples *infra*, by substituting an isotopically labeled reagent for a non-isotopically labeled reagent. Other synthetic methods that are useful are discussed *infra*. Moreover, it should be understood that all of the atoms represented in the compounds of the invention can be either the most commonly occurring isotope 20 of such atoms or the more scarce radio-isotope or nonradio-active isotope.

Synthetic methods for incorporating radio-isotopes into organic compounds are applicable 20 to compounds of the invention and are well known in the art. These synthetic methods, for example, incorporating activity levels of tritium into target molecules, are as follows:

**A. Catalytic Reduction with Tritium Gas** - This procedure normally yields high specific activity products and requires halogenated or unsaturated precursors.

25 **B. Reduction with Sodium Borohydride [<sup>3</sup>H]** - This procedure is rather inexpensive and requires precursors containing reducible functional groups such as aldehydes, ketones, lactones, esters, and the like.

**C. Reduction with Lithium Aluminum Hydride [<sup>3</sup>H ]** - This procedure offers products at almost theoretical specific activities. It also requires precursors containing reducible functional groups such as aldehydes, ketones, lactones, esters, and the like.

30 **D. Tritium Gas Exposure Labeling** - This procedure involves exposing precursors containing exchangeable protons to tritium gas in the presence of a suitable catalyst.

**E. N-Methylation using Methyl Iodide [<sup>3</sup>H]** - This procedure is usually employed to prepare O-methyl or N-methyl (<sup>3</sup>H) products by treating appropriate precursors with high specific activity methyl iodide (<sup>3</sup>H). This method in general allows for higher specific activity, such as for

example, about 70-90 Ci/mmol.

Synthetic methods for incorporating activity levels of  $^{125}\text{I}$  into target molecules include:

A. Sandmeyer and like reactions – This procedure transforms an aryl or heteroaryl amine into a diazonium salt, such as a tetrafluoroborate salt, and subsequently to  $^{125}\text{I}$  labeled compound 5 using  $\text{Na}^{125}\text{I}$ . A represented procedure was reported by Zhu, D.-G. and co-workers in *J. Org. Chem.* **2002**, *67*, 943-948.

B. Ortho  $^{125}\text{I}$ odination of phenols – This procedure allows for the incorporation of  $^{125}\text{I}$  at the ortho position of a phenol as reported by Collier, T. L. and co-workers in *J. Labeled Compd Radiopharm.* **1999**, *42*, S264-S266.

C. Aryl and heteroaryl bromide exchange with  $^{125}\text{I}$  – This method is generally a two step process. The first step is the conversion of the aryl or heteroaryl bromide to the corresponding trialkyltin intermediate using for example, a Pd catalyzed reaction [i.e.  $\text{Pd}(\text{Ph}_3\text{P})_4$ ] or through an aryl or heteroaryl lithium, in the presence of a tri-alkyltinhalide or hexaalkylditin [e.g.,  $(\text{CH}_3)_3\text{SnSn}(\text{CH}_3)_3$ ]. A represented procedure was reported by Bas, M.-D. and co-workers in *J. Labeled Compd Radiopharm.* **2001**, *44*, S280-S282.

A radio-labeled  $5\text{HT}_{2\text{C}}$  receptor compound of Formula (I) can be used in a screening assay to identify/evaluate compounds. In general terms, a newly synthesized or identified compound (i.e., test compound) can be evaluated for its ability to reduce binding of the “radio-labeled compound of Formula (I)” to the  $5\text{HT}_{2\text{C}}$  receptor. Accordingly, the ability of a test compound to compete with the “radio-labeled compound of Formula (I)” for the binding to the  $5\text{HT}_{2\text{C}}$  receptor directly correlates to its binding affinity.

The labeled compounds of the present invention bind to the  $5\text{HT}_{2\text{C}}$  receptor. In one embodiment the labeled compound has an  $\text{IC}_{50}$  less than about 500  $\mu\text{M}$ , in another embodiment the labeled compound has an  $\text{IC}_{50}$  less than about 100  $\mu\text{M}$ , in yet another embodiment the labeled compound has an  $\text{IC}_{50}$  less than about 10  $\mu\text{M}$ , in yet another embodiment the labeled compound has an  $\text{IC}_{50}$  less than about 1  $\mu\text{M}$ , and in still yet another embodiment the labeled inhibitor has an  $\text{IC}_{50}$  less than about 0.1  $\mu\text{M}$ .

Other uses of the disclosed receptors and methods will become apparent to those in the art based upon, *inter alia*, a review of this disclosure.

As will be recognized, the steps of the methods of the present invention need not be performed any particular number of times or in any particular sequence. Additional objects, advantages, and novel features of this invention will become apparent to those skilled in the art upon examination of the following examples thereof, which are intended to be illustrative and not intended to be limiting.

## EXAMPLES

**Example 1****Intracellular IP<sub>3</sub> Accumulation Assay:**

5 HEK293 cells were transfected in 15cm sterile dishes with or without (control) 16ug of human 5HT<sub>2C</sub> receptor cDNA [for example see, Saltzman, A. G., et al. *Biochem. Biophys. Res. Commun.* 181, 1469-1478 (1991)] using 25ul of lipofectamine. Cells were then incubated for 3-4 hours at 37°C/5%CO<sub>2</sub> and then transfection media was removed and replaced with 100ul of DMEM. Cells were then plated onto 100cm sterile dishes. The next day cells were plated into 96 10 well PDL microtiter plates at a density of 55K/0.2ml. Six hours latter, media was exchanged with [<sup>3</sup>H]inositol (0.25 uCi/well) in inositol free DMEM and plates were incubated at 37°C/5%CO<sub>2</sub> overnight. The next day, wells were aspirated and 200ul of DMEM containing test compound, 10uM pargyline, and 10mM LiCl was added to appropriate wells. Plates were then incubated at 37°C/5%CO<sub>2</sub> for three hours followed aspiration and by addition of fresh ice cold stop solution (1M 15 KOH, 19mM Na-borate, 3.8 mM EDTA) to each well. Plates were kept on ice for 5-10 min and the wells were neutralized by addition of 200ul of fresh ice cold neutralization solution (7.5% HCl). Plates were then frozen until further processing is desired. The lysate was then transferred into 1.5 ml Eppendorf tubes and 1 ml of chloroform/methanol (1:2) was added/tube. The solution was vortexed for 15 seconds and the upper phase was applied to a Biorad AG1-X8™ anion exchange 20 resin (100-200 mesh). First, the resin was washed with water at 1:1.25 W/V and 0.9 ml of upper phase was loaded onto the column. The column was then washed with 10 ml of 5 mM myo-inositol and 10 ml of 5 mM Na-borate/60mM Na-formate. The inositol tris phosphates were eluted into scintillation vials containing 10 ml of scintillation cocktail with 2 ml of 0.1 M formic acid/ 1 M ammonium formate. The columns were regenerated by washing with 10 ml of 0.1 M formic 25 acid/3M ammonium formate and rinsed twice with dd H<sub>2</sub>O and stored at 4°C in water.

The biological activities in the IP Accumulation Assay for several representative compounds are shown in **Table 3** below:

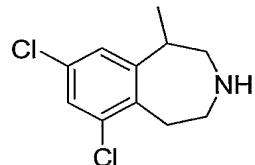
**Table 3**

Compound No.	5HT <sub>2C</sub> (IC <sub>50</sub> ) IP Accumulation Assay (nM)
1	11.7
3	24.5

30 The majority of the compounds of the Examples showed activities in the IP Accumulation Assay in the range between about 11 nM and about 5 μM.

**Example 2****Inhibition of food intake in food-deprived rats**

Male Sprague-Dawley rats (250-350g) are deprived of food overnight prior to testing. Prior  
 5 to food deprivation, the animals are weighed and separated into treatment groups in order to balance groups according to body weight. On the test day, animals are placed into individual cages (no bedding) at 9:00am with free access to water. At 10:00am, animals are injected with test compound (p.o., i.p., or s.c.) and then presented with a pre-weighed amount of food in a dish either 60 min (p.o.) or 30 min (i.p. and s.c.) after drug administration. Food consumption over different time  
 10 points is determined by weighing the food cup at 1, 2, 4, and 6 hr after the food is presented. Thus, food consumption is measured at 2, 3, 5, and 7 hr post-injection in p.o. studies, and at 1.5; 2.5, 4.5, and 6.5 hr post-injection in i.p. and s.c. studies.

**Example 3****Syntheses of Selected Compounds of the Invention****Example 3.1: Preparation of (R,S) 6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Also referred to herein as Compound 1).****Step 1: Preparation of 2-chloro-N-[2-(2,4-dichlorophenyl)ethyl]propionamide.**

A solution of 2,4-dichlorophenethylamine (1.0 g, 5.3 mmol) in dichloromethane (20 mL)  
 20 was treated with diisopropylethylamine (0.82 g, 6.3 mmol) and 2-chloropropionylchloride (0.67 mL, 5.3 mmol) sequentially, and stirred at 20°C for 4 hours. The mixture was diluted with dichloromethane (50 mL), washed with 10% aqueous HCl, brine (20 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated, resulting in 1.5 g of the desired product as a brown oil. MS calculated for C<sub>11</sub>H<sub>12</sub>Cl<sub>3</sub>NO+H: 280, observed: 280.

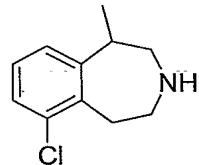
**Step 2: Preparation of 6,8-dichloro-1-methyl-2-oxo-2,3,5-trihydro-1H-3-benzazepine.**

Neat 2-Chloro-N-[2-(2,4-dichlorophenyl)ethyl]propionamide (2.5 g, 9.1 mmol) and AlCl<sub>3</sub> (3.6 g, 27 mmol) were heated at 150°C for 18 hours while stirring. The product mixture was quenched with water (10 mL), diluted with dichloromethane (100 mL), the organic phase separated,  
 30 washed with brine (50 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated, resulting in 1.9 g of a brown oil. MS calculated for C<sub>11</sub>H<sub>11</sub>Cl<sub>2</sub>NO+H: 244, observed: 244.

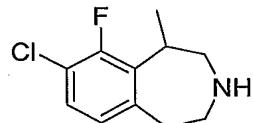
**Step 3: Preparation of 6,8-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

A solution of 6,8-Dichloro-1-methyl-2-oxo-2,3,5-trihydro-1H-3-benzazepine (1.9 g, 7.8 mmol) in tetrahydrofuran (50 mL) was treated with 1.0 M borane in THF (20.0 mL, 20.0 mmol), and stirred at 20°C for 5 hours. The mixture was quenched with methanol (10 mL), acidified with 5 concentrated HCl (0.2 mL), azeotroped with methanol (3 x 100 mL) and concentrated. Flash chromatography (5% methanol in dichloromethane) resulted in 1.0 g of a clear oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.20 (s, 1 H), 6.90 (s, 1 H), 4.30 (bs, 1 H), 3.92 (m, 1 H), 3.51 (m, 1 H), 3.37 (m, 2 H), 3.03 (m, 1 H), 2.77 (m, 2 H), 1.31 (d, J=8 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>Cl<sub>2</sub>N+H: 230, observed: 230.

10

**Example 3.2: Preparation of (R,S) 6-Chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 2).**

Compound 2 was prepared utilizing a similar procedure as described for the preparation of 15 Compound 1. (R,S) 6-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine was obtained from 2-chlorophenethylamine as a colorless oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.17 (d, J=8 Hz, 1 H), 6.93 (m, 2 H), 3.97 (bs, 1 H), 3.79 (m, 1 H), 3.3-3.1 (m, 3 H), 2.95 (d, J=11 Hz, 1 H), 2.8-2.6 (m, 2 H), 1.3 (d, J=8 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>14</sub>ClN+H: 196, observed: 196.

**20 Example 3.3: Preparation of (R,S) 8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 3).****Step 1: Preparation of N-Trifluoroacetyl-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

25 A solution of N-trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (2.5 g, 8.5 mmol) in 1,2-dichloroethane (15 mL) was treated with Selectfluor (3.9 g, 11 mmol), trifluoromethanesulfonic acid (8 mL, 90 mmol) and stirred 60 hours at 75°C. The product mixture was poured into water (200 mL), extracted with EtOAc (200 mL), the organic phase washed with saturated aqueous NaHCO<sub>3</sub> (2 x 100 mL), brine (100 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated.

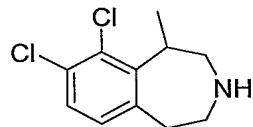
The crude product was purified by flash chromatography (6 % EtOAc in hexane, silica) resulting in 0.6 g of a white solid. MS calculated for C<sub>13</sub>H<sub>12</sub>ClF<sub>4</sub>NO+H: 310, observed: 310.

**Step 2: Preparation of 8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine.**

5 A solution of N-trifluoroacetyl-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine (160 mg, 0.22 mmol) in methanol (3 mL) was treated with 15% aqueous NaOH (2 mL), and stirred for 3.5 hours at 25°C. The product mixture was concentrated, extracted 3 times with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated to give 93 mg of a clear oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.06 (dd, J=8, 8 Hz, 1 H), 6.75 (d, J=8 Hz, 1 H), 3.58 (m, 1 H), 3.25-3.15 (m, 3 H), 2.93 (d, J=13 Hz, 1 H) 2.75-2.60 (m, 3H), 1.96 (bs, 1 H), 1.33 (d, J=8 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>ClFN+H: 214, observed: 214.

10

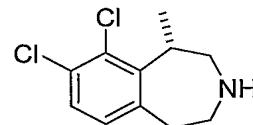
**Example 3.4: Preparation of (R,S) 8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine (Compound 4).**



15

Compound 4 was prepared utilizing a similar procedure as described herein for the preparation of Compound 1. (R,S) 8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine was obtained from 3,4-dichlorophenethylamine as a colorless oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.40 (d, J=8 Hz, 1 H), 7.16 (d, J=8 Hz, 1 H), 4.17 (m, 1 H), 3.55 (m, 2 H), 3.5-3.3 (m, 2 H), 3.2-3.0 (m, 2 H), 1.43 (d, J=7 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>Cl<sub>2</sub>N+H: 230, observed: 230.

**Example 3.5: Preparation of (S)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine (Compound 5).**



25

**Step 1: Preparation of (S)-N-Trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine.**

(S)-N-Trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine was prepared from (S)-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine utilizing a similar procedure as described herein for the preparation of Compound 7 (one exception is that the resolution step was performed using D-tartaric acid). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, mixture of rotamers) δ 7.27 (m, 1H),

6.96 (m, 1H), 4.26 (bm, 0.6H), 4.19-4.03 (m, 1.7H), 3.92-3.87 (m, 0.8H) 3.75-3.69 (m, 0.8H), 3.47-3.22 (m, 2H), 2.91 (m, 1H), 1.28-1.25 (m, 3H). MS calculated for C<sub>13</sub>H<sub>13</sub>ClF<sub>3</sub>NO+H: 292, observed: 292.

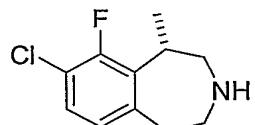
**Step 2: Preparation of (S)-N-Trifluoroacetyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

A solution of (S)-N-Trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (0.62g, 2.1 mmol) in dichloromethane (10 mL) was treated with N-chlorosuccinimide (0.284 g, 2.1 mmol) and trifluoromethansulfonic acid (0.639 g, 4.2 mmol). The reaction was stirred for 16h at 20°C, diluted with water (20 mL) and extracted with dichloromethane (25 mL). The organics were dried with MgSO<sub>4</sub>, filtered and concentrated. HPLC purification was done to provide 0.078 g of a white solid. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, mixture of rotamers) δ 7.32-7.25 (m, 1H), 6.97-6.93 (m, 1H), 4.27-4.24 (m, 0.6H), 4.19-4.13 (m, 1H), 4.08-4.01 (m, 1H), 3.91-3.86 (0.8H, m), 3.74-3.69 (m, 0.8H), 3.45-3.37 (m, 1H), 3.31-3.21 (m, 1H), 2.96-2.80 (m, 1H), 1.28-1.22 (m, 3H). MS calculated for C<sub>13</sub>H<sub>12</sub>Cl<sub>2</sub>F<sub>3</sub>NO+H: 326, observed: 326.

**Step 3: Preparation of (S)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

A solution of (S)-N-trifluoroacetyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (0.270 g, 1.2 mmol) in methanol (10 mL) was treated with 15% aqueous NaOH (10 mL), and stirred for 3.5 hours at 25°C. The product mixture was concentrated, extracted 3 times with CH<sub>2</sub>Cl<sub>2</sub> (25 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated to give 0.270 g of a clear oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.40 (d, J=8 Hz, 1 H), 7.16 (d, J=8 Hz, 1 H), 4.17 (m, 1 H), 3.55 (m, 2 H), 3.5-3.3 (m, 2 H), 3.2-3.0 (m, 2 H), 1.43 (d, J=7 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>Cl<sub>2</sub>N+H: 230, observed: 230.

**Example 3.6: Preparation of (S)-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 6).**



**Step 1: Preparation of (S)-N-Trifluoroacetyl-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

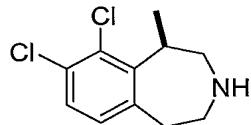
A solution of (S)-N-trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (2.5 g, 8.5 mmol) in 1,2-dichloroethane (15 mL) was treated with Selectfluor (3.9 g, 11 mmol), trifluoromethanesulfonic acid (8 mL, 90 mmol) and stirred 60 hours at 75°C. The product mixture

was poured into water (200 mL), extracted with EtOAc (200 mL), the organic phase washed with saturated aqueous NaHCO<sub>3</sub> (2 x 100 mL), brine (100 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated. The crude product was purified by flash chromatography (6 % EtOAc in hexane, silica) resulting in 0.6 g of a white solid. MS calculated for C<sub>13</sub>H<sub>12</sub>ClF<sub>4</sub>NO+H: 310, observed: 310.

5       **Step 2: Preparation of (S)-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

A solution of (S)-N-trifluoroacetyl-8-chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (160 mg, 0.22 mmol) in methanol (3 mL) was treated with 15% aqueous NaOH (2 mL), and stirred for 3.5 hours at 25°C. The product mixture was concentrated, extracted 3 times 10 with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), dried with Na<sub>2</sub>SO<sub>4</sub> and concentrated to give 93 mg of a clear oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.06 (dd, J=8, 8 Hz, 1 H), 6.75 (d, J=8 Hz, 1 H), 3.58 (m, 1 H), 3.25-3.15 (m, 3 H), 2.93 (d, J=13 Hz, 1 H) 2.75-2.60 (m, 3H), 1.96 (bs, 1 H), 1.33 (d, J=8 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>ClFN+H: 214, observed: 214.

15     **Example 3.7: Preparation of (R)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 7).**



**Step 1: Preparation of 2-(4-chlorophenyl)ethyl-N-2-chloropropionamide**

To a 1-liter, 3-necked round bottom flask under argon balloon equipped with reflux condenser and addition funnel, were added sequentially 2-(4-chlorophenyl) ethylamine (30 g, 193 mmol), 400 mL acetonitrile, triethylamine (19.5 g, 193 mmol) and 80 mL acetonitrile. The clear colorless solution was stirred and cooled to 0°C. 2-Chloropropionyl chloride (24.5 g, 193 mmol, distilled) in 5 mL acetonitrile was slowly added over 20 minutes to evolution of white gas, formation of white precipitate, and color change of reaction mixture to slight yellow. An additional 25 10 mL of acetonitrile was used to rinse the addition funnel. The mixture was stirred at 0°C for 30 minutes and then warmed to room temperature and stirred vigorously for an additional one hour. The yellow reaction mixture was concentrated on the rotary evaporator to a solid containing triethylamine hydrochloride (76.36 grams). This material was taken up in 100 mL ethylacetate and 200 mL water, and stirred vigorously. The layers were separated and the aqueous layer was 30 extracted with an additional 100 mL ethylacetate. The combined organic layers were washed twice with 25 mL of saturated brine, dried over magnesium sulfate, filtered, and concentrated to a light tan solid (41.6 grams, 88 %). TLC in ethylacetate-hexane, 8:2 showed a major spot two-thirds of

the way up the plate and a small spot at the baseline. Baseline spot was removed as follows: This material was taken up in 40 mL of ethylacetate and hexane was added until the solution became cloudy. Cooling to 0°C produced a white crystalline solid (40.2 grams, 85 % yield). The product is a known compound (Hasan et al., *Indian J. Chem.*, 1971, 9(9), 1022) with CAS Registry No.

5 34164-14-2. LC/MS gave product 2.45 minute; 246.1 M<sup>+</sup>+H<sup>+</sup>. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 7.2 (dd, 4H, Ar), 6.7 (br s, 1H, NH), 4.38 (q, 1H, CHCH<sub>3</sub>), 3.5 (q, 2H, ArCH<sub>2</sub>CH<sub>2</sub>NH), 2.8 (t, 2H, ArCH<sub>2</sub>), 1.7 (d, 3H, CH<sub>3</sub>). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 169 (1C, C=O), 136 (1C, Ar-Cl), 132 (1C, Ar), 130 (2C, Ar), 128 (2C, Ar), 56 (1C, CHCl), 40 (1C, CHN), 34 (1C, CHAr), 22 (1C, CH<sub>3</sub>).

**Step2: Preparation of 8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepin-2-one.**

10 2-(4-Chlorophenyl)ethyl-N-2-chloropropionamide (10 g, 40.6 mmol) and aluminum chloride (16 g, 119.9 mmol) were added to a clean dry 100 mL round bottom flask equipped with an argon balloon, stirring apparatus, and heating apparatus. The white solid melted to a tan oil with bubbling at 91 °C. (Note: if impure starting materials are used, a black tar can result but clean product can still be isolated). The mixture was heated and stirred at 150°C for 12 hours. The 15 reaction can be followed by LC/MS with the starting material at 2.45 minutes (246.1 M<sup>+</sup>+H<sup>+</sup>), the product at 2.24 minutes (209.6 M<sup>+</sup>+H<sup>+</sup>) on a 5 minute reaction time from 5-95% w/ 0.01 % TFA in water/MeCN(50:50). After cooling to room temperature, the reaction mixture was quenched with slow addition of 10 mL of MeOH followed by 5 mL of 5 % HCl in water and 5 mL of ethyl acetate. After separation of the resulting layers, the aqueous layer was extracted a second time with 10 mL 20 of ethyl acetate. The combined organic layers were dried over magnesium sulfate, filtered, and concentrated to a tan solid (6.78 grams, 80 % yield). LC/MS showed one peak, at 2.2 min and 209.6 MI. This material was taken up in ethyl acetate, filtered through celite and Kieselgel 60 (0.5 inch plug on a 60 mL Buchner funnel) and the filtrate was recrystallized from hexane/ethyl acetate to give final product (4.61 grams, 54 % yield). <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 7.3-7.1 (m, 3H, Ar), 5.6 (br s, 1H, NH), 4.23 (q, 1H, CHCH<sub>3</sub>), 3.8 (m, 1H, ArCH<sub>2</sub>CH<sub>2</sub>NH), 3.49 (m, 1H, ArCH<sub>2</sub>CH<sub>2</sub>NH), 3.48 (m, 1H, ArCH<sub>2</sub>CH<sub>2</sub>NH), 3.05 (m, 1H, ArCH<sub>2</sub>CH<sub>2</sub>NH), 1.6 (d, 3H, CH<sub>2</sub>). <sup>13</sup>C NMR (CDCl<sub>3</sub>): 178 (1C, C=O), 139 (1C, Ar), 135 (1C, Ar), 130, 129 (2C, Ar), 126 (2C, Ar), 42 (1C, C), 40 (1C, CHN), 33 (1C, CHAr), 14 (1C, CH<sub>3</sub>).

**Step 3: Preparation of 8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

30 8-Chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepin-2-one (150 mg, 0.716 mmol, purified by HPLC or recrystallization) was added to a 50 mL round bottom flask with 2M borane-tetrahydrofuran solution (2 mL, 2.15 mmol). The mixture was stirred 10 hours at room temperature under an argon balloon. LC/MS showed the desired product as the major peak with approximately 5 % of starting material still present. The reaction mixture was quenched with 5 mL methanol and

the solvents were removed on the rotary evaporator. This procedure was repeated with methanol addition and evaporation. The mixture was evaporated on the rotary evaporator followed by 2 hours in vacuo to give the product as a white solid (117 mg, 70 % yield).  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  10.2 (br s, 1H), 9.8 (br s, 1H), 7.14 (dd, 1H,  $J$  = 2, 8 Hz), 7.11 (d, 1H,  $J$  = 2 Hz), 7.03 (d, 1H,  $J$  = 8 Hz), 3.6 (m, 2H), 3.5 (m, 2H), 2.8-3.0 (m, 3 H), 1.5 (d, 3H,  $J$  = 7 Hz). LC/MS: 1.41 minute, 196.1 M +  $\text{H}^+$  and 139 major fragment.

**Step 4: Preparation of L-(+)-tartaric acid salt of (R)-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

To a clean, dry 50 mL round bottom flask were added 11.5 g (0.06 mol) of 8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine to 2.23 g (0.015 mol) of L-(+)-tartaric acid. The suspension was diluted with 56 g of tert-butanol and 6.5 mL of  $\text{H}_2\text{O}$ . The mixture was heated to reflux (75-78°C) and stirred for 10 min to obtain a colorless solution. The solution was slowly cooled down to room temperature (during 1h) and stirred for 3h at room temperature. The suspension was filtered and the residue was washed twice with acetone (10 mL). The product was dried under reduced pressure (50 mbar) at 60 °C to yield 6.3 g of the tartrate salt (ee = 80). This tartrate salt was added to 56 g of tert-butanol and 6.5 mL of  $\text{H}_2\text{O}$ . The resulting suspension was heated to reflux and 1 to 2g of  $\text{H}_2\text{O}$  was added to obtain a colorless solution. The solution was slowly cooled down to room temperature (over the course of 1h) and stirred for 3h at room temperature. The suspension was filtered and the residue was washed twice with acetone (10 mL). The product was dried under reduced pressure (50 mbar) at 60°C to produce 4.9 g (48 % yield) of product (ee >98.9).

**Step 5: Conversion of Salt to Free Amine - (R)-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

The L-tartaric acid salt of 8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (300 mg, 0.87 mmol) was added to a 25 mL round bottom flask with 50% sodium hydroxide solution (114  $\mu\text{L}$ , 2.17 mmol) with an added 2 mL of water. The mixture was stirred 3 minutes at room temperature. The solution was extracted with methylene chloride (5 mL) twice. The combined organic extracts were washed with water (5 mL) and evaporated to dryness on the pump to afford free amine (220 mg crude weight). LC/MS 196 (M+H).

**Step 6: Preparation of (R)-N-Trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

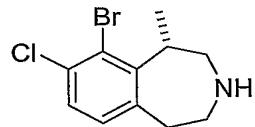
A solution of (R)-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine hydrochloride salt (1.0 g, 4.31mmol) in dichloromethane (50 mL) at 0°C was treated with pyridine (1.0 mL) and trifluoroacetic anhydride (1.35 g, 6.46 mmole). This was warmed to 20°C, stirred for 3h and

diluted with 1M HCl (25 mL). This was extracted with dichloromethane (2 x 50 mL) and the organics dried with MgSO<sub>4</sub>, filtered and concentrated to give 1.17 g as an off-white solid. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, mixture of rotamers) δ 7.27 (m, 1H), 6.96 (m, 1H), 4.26 (bm, 0.6H), 4.19-4.03 (m, 1.7H), 3.92-3.87 (m, 0.8H), 3.75-3.69 (m, 0.8H), 3.47-3.22 (m, 2H), 2.91 (m, 1H), 1.28-1.25 (m, 3H). MS calculated for C<sub>13</sub>H<sub>13</sub>ClF<sub>3</sub>NO+H: 292, observed: 292.

**Step 7: (R)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine**

Compound 7 was prepared from (R)-N-trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine utilizing a similar two step procedure as described herein for the preparation of Compound 5. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.40 (d, J=8 Hz, 1 H), 7.16 (d, J=8 Hz, 1 H), 4.17 (m, 1 H), 3.55 (m, 2 H), 3.5-3.3 (m, 2 H), 3.2-3.0 (m, 2 H), 1.43 (d, J=7 Hz, 3 H). MS calculated for C<sub>11</sub>H<sub>13</sub>Cl<sub>2</sub>N+H: 230, observed: 230.

**Example 3.8: Preparation of (S)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 8).**



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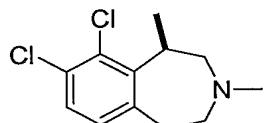
**Step 1: - Preparation of (S)-N-Trifluoroacetyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

A solution of (S)-N-Trifluoroacetyl-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (0.875g, 3.0 mmol) in dichloroethane (7 mL) was treated with N-bromosuccinimide (0.284 g, 2.1 mmol) and trifluoromethansulfonic acid (0.639 g, 4.2 mmol). The reaction was stirred for 16h at 75°C, diluted with ethyl acetate (20 mL) and extracted with water (2x10 mL). The organics were dried with MgSO<sub>4</sub>, filtered and concentrated. Flash chromatography (5 % EtOAc in hexanes, silica) resulted in 0.13 g of a clear oil. MS calculated for C<sub>13</sub>H<sub>12</sub>BrClF<sub>3</sub>NO+H: 370, observed: 370.

**Step 2: Preparation of (S)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

Compound 8 was prepared from (S)-N-trifluoroacetyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine utilizing a similar procedure as described herein for the preparation of Compound 5. (S)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine was obtained from (S)-N-trifluoroacetyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.17 (d, J = 8 Hz, 1H), 6.92 (d, J = 8 Hz, 1H), 3.92-3.87 (m, 1H), 3.29-3.20 (m, 2H), 3.11 (dd, J = 14, 5 Hz, 1H), 2.99 (dd, J = 14, 2 Hz, 1H), 2.74-2.65 (m, 2H), 1.32 (d, J = 7 Hz, 3H). MS calculated for C<sub>11</sub>H<sub>13</sub>BrClN+H: 274, observed: 274.

**Example 3.9: Preparation of (R)-N-methyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 9).**

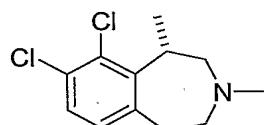


5 A solution of (R)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (0.05g, 0.20 mmol) in dichloroethane (3mL) was treated with sodium triacetoxyborohydride (0.073g, 0.35 mmol) and formaldehyde (0.017 mL, 37% solution in water). This was stirred at 20°C for 2h. The reaction was diluted with 15% NaOH and extracted with ethyl acetate (2x10 mL). The organics were dried with MgSO<sub>4</sub>, filtered and concentrated to give 0.042 g as an oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.19 (d, J = 8 Hz, 1H), 6.89 (d, J = 8 Hz, 1H), 3.91-3.88 (m, 1H), 3.28 (ddd, J = 15, 12, 2 Hz, 1H), 2.99-2.88 (m, 2H), 2.68 (ddd, J = 15, 5, 1 Hz, 1H), 2.36-2.32 (m, 4H), 2.13 (t, J = 11 Hz, 1H), 1.27 (d, J = 7 Hz, 3H). MS calculated for C<sub>12</sub>H<sub>15</sub>Cl<sub>2</sub>N+H: 243, observed: 243.

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**Example 3.10: Preparation of (S)-N-methyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 10).**

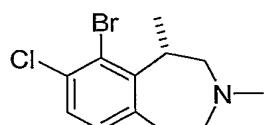


**Step 1: Preparation of (S)-N-methyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.**

Compound 10 was prepared from (S)-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine utilizing a similar procedure as described herein for the preparation of Compound 9. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.19 (d, J = 8 Hz, 1H), 6.89 (d, J = 8 Hz, 1H), 3.91-3.88 (m, 1H), 3.28 (ddd, J = 15, 12, 2 Hz, 1H), 2.99-2.88 (m, 2H), 2.68 (ddd, J = 15, 5, 1 Hz, 1H), 2.36-2.32 (m, 4H), 2.13 (t, J = 11 Hz, 1H), 1.27 (d, J = 7 Hz, 3H). MS calculated for C<sub>12</sub>H<sub>15</sub>Cl<sub>2</sub>N+H: 243, observed: 243.

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**Example 3.11: Preparation of (S)-N-methyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine (Compound 11).**



Compound 11 was prepared from (S)-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1*H*-3-benzazepine utilizing a similar procedure as described herein for the preparation of Compound 9.

<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.18 (d, J = 8 Hz, 1H), 6.92 (d, J = 8 Hz, 1H), 3.94-3.88 (m, 1H), 3.29 (ddd, J = 15, 12, 2 Hz, 1H), 2.98-2.93 (m, 1H), 2.90 (ddd, J = 15, 6, 1 Hz, 1H), 2.36-2.32 (m, 4H) 2.13 (t, J = 11 Hz, 1H), 1.33 (d, J = 7 Hz, 3H). MS calculated for C<sub>12</sub>H<sub>15</sub>Cl<sub>2</sub>N+H: 288, observed: 288.

#### **Example 4 Separation of enantiomers for selected compounds of the invention**

Compounds of the present invention can be separated into their respective enantiomers 10 using a Varian ProStar HPLC system with a 20 mm x 250 mm Chiralcel OD chiral column, eluting with 0.2 % diethylamine in various concentrations of isopropanol (IPA) in hexanes, for example, 5% IPA in hexanes, 1% IPA in hexanes and like concentrations.

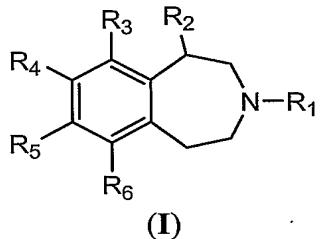
It is intended that each of the patents, applications, printed publications, and other 15 published documents mentioned or referred to in this specification be herein incorporated by reference in their entirety.

Those skilled in the art will appreciate that numerous changes and modifications may be made to the preferred embodiments of the invention and that such changes and modifications may be made without departing from the spirit of the invention. It is therefore intended that the 20 appended claims cover all such equivalent variations as fall within the true spirit and scope of the invention.

## CLAIMS

**What we claimed is:**

1. A compound of Formula (I):



5

wherein:

R<sub>1</sub> is H or C<sub>1-8</sub> alkyl;

R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, C<sub>1-4</sub> haloalkyl or CH<sub>2</sub>OH; and

R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> and R<sub>6</sub> are each independently H, C<sub>1-4</sub> alkyl, amino, cyano,

10 halogen, C<sub>1-4</sub> haloalkyl, nitro or OH; or

a pharmaceutically acceptable salt, hydrate and solvate thereof;

provided that when R<sub>2</sub> is C<sub>1-4</sub> alkyl, -CH<sub>2</sub>-O-C<sub>1-4</sub> alkyl, and CH<sub>2</sub>OH then R<sub>3</sub> and R<sub>6</sub> are not both hydrogen.

15 2. The compound according to claim 1 wherein R<sub>1</sub> is H.

3. The compound according to claim 1 wherein R<sub>1</sub> is C<sub>1-8</sub> alkyl.

4. The compound according to claim 3 wherein R<sub>1</sub> is methyl.

20 5. The compound according to claim 3 wherein R<sub>1</sub> is ethyl.

6. The compound according to claim 3 wherein R<sub>1</sub> is *n*-propyl.

25 7. The compound according to claim 3 wherein R<sub>1</sub> is *iso*-propyl.

8. The compound according to claim 3 wherein R<sub>1</sub> is *n*-butyl.

9. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is C<sub>1-4</sub> alkyl.

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10. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is methyl.
11. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is ethyl.
- 5 12. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is *iso*-propyl.
13. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is *n*-butyl.
14. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is C<sub>1-4</sub> haloalkyl.
- 10 15. The compound according to any one of claims 1 to 8 wherein R<sub>2</sub> is -CF<sub>3</sub>.
16. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is H.
- 15 17. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is C<sub>1-4</sub> alkyl.
18. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is -CH<sub>3</sub>.
19. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is amino.
- 20 20. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is cyano.
21. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is halogen.
- 25 22. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is a fluorine atom.
23. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is a chlorine atom.
24. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is a bromine atom.
- 30 25. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is an iodine atom.
26. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is C<sub>1-4</sub> haloalkyl.

27. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is CF<sub>3</sub>.
28. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is nitro.
- 5 29. The compound according to any one of claims 1 to 15 wherein R<sub>3</sub> is -OH.
30. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is H.
31. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is C<sub>1-4</sub> alkyl.
- 10 32. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is -CH<sub>3</sub>.
33. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is amino.
- 15 34. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is cyano.
35. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is halogen.
36. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is a fluorine atom.
- 20 37. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is a chlorine atom.
38. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is a bromine atom.
- 25 39. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is an iodine atom.
40. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is C<sub>1-4</sub> haloalkyl.
41. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is CF<sub>3</sub>.
- 30 42. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is nitro.
43. The compound according to any one of claims 1 to 29 wherein R<sub>4</sub> is -OH.

44. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is H.
45. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is C<sub>1-4</sub> alkyl.
- 5 46. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is -CH<sub>3</sub>.
47. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is amino.
48. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is cyano.
- 10 49. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is halogen.
50. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is a fluorine atom.
- 15 51. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is a chlorine atom.
52. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is a bromine atom.
53. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is an iodine atom.
- 20 54. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is C<sub>1-4</sub> haloalkyl.
55. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is CF<sub>3</sub>.
- 25 56. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is nitro.
57. The compound according to any one of claims 1 to 43 wherein R<sub>5</sub> is -OH
58. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is H.
- 30 59. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is C<sub>1-4</sub> alkyl.
60. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is -CH<sub>3</sub>.

61. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is amino.
62. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is cyano.
- 5 63. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is halogen.
64. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is a fluorine atom.
65. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is a chlorine atom.
- 10 66. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is a bromine atom.
67. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is an iodine atom.
- 15 68. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is C<sub>1-4</sub> haloalkyl.
69. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is CF<sub>3</sub>.
70. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is nitro.
- 20 71. The compound according to any one of claims 1 to 57 wherein R<sub>6</sub> is -OH.
72. The compound of claim 1 selected from the group consisting of:
  - 6,8-Dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine;
  - 25 6-Chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine;
  - 8-Chloro-9-fluoro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine; and
  - 8,9-Dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.
73. The compound of claim 1 that is 9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.
- 30 74. The compound of claim 1 selected from the group consisting of:
  - N-methyl-8,9-dichloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine; and
  - N-methyl-9-bromo-8-chloro-1-methyl-2,3,4,5-tetrahydro-1H-3-benzazepine.

75. The compound according to any one of claims 1 to 74 wherein said compound is an *R* enantiomer.
- 5 76. The compound according to any one of claims 1 to 74 wherein said compound is an *S* enantiomer.
77. A pharmaceutical composition comprising a compound according to any one of claims 1 to 76 and a pharmaceutically acceptable carrier.
- 10 78. A method of modulating a 5HT<sub>2C</sub> receptor comprising contacting said receptor with a therapeutically effective amount of a compound according to any one of claims 1 to 76.
79. The method according to claim 78 wherein said compound is an agonist of said receptor.
- 15 80. A method of prophylaxis or treatment of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea comprising administering to an individual in need of such prophylaxis or treatment a therapeutically effective amount of a compound according to any one of claims 1 to 76 or a pharmaceutical composition according to claim 77.
- 20 81. The method according to claim 80 wherein the disorders of the central nervous system are selected from the group consisting of depression, atypical depression, bipolar disorders, anxiety disorders, obsessive-compulsive disorders, social phobias or panic states, sleep disorders, sexual dysfunction, psychoses, schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, chronic fatigue syndrome, drug and alcohol addiction, obesity, bulimia, anorexia nervosa and premenstrual tension.
- 25 30 82. The method according to claim 81 wherein the disorder of the central nervous system is obesity.

83. The method according to claim 81 wherein the disorder of the central nervous system is Alzheimer disease.
84. The method according to claim 81 wherein the sexual dysfunction is Male erectile dysfunction.  
5
85. The method according to claim 80 wherein the damage to the central nervous system is by trauma, stroke, neurodegenerative diseases, toxic CNS diseases or infective CNS diseases.
- 10 86. The method according to claim 80 wherein the damage to the central nervous system is by encephalitis or meningitis.
87. The method according to claim 80 wherein the cardiovascular disorder is thrombosis.
- 15 88. The method according to claim 80 wherein the gastrointestinal disorder is dysfunction of gastrointestinal motility.
89. The method according to one of claims 80 to 88 wherein said individual is a mammal.
- 20 90. The method according to claim 89 wherein said mammal is a human.
91. A method of decreasing food intake of an individual comprising administering to said individual a therapeutically effective amount of a compound according to any one of claims 1 to 76 or a pharmaceutical composition according to claim 77.  
25
92. The method according to one of claims 91 wherein said individual is a mammal.
93. The method according to claim 92 wherein said mammal is a human.
- 30 94. A method of inducing satiety in an individual comprising administering to said individual a therapeutically effective amount of a compound according to any one of claims 1 to 76 or a pharmaceutical composition according to claim 77.
95. The method according to one of claims 94 wherein said individual is a mammal.

96. The method according to claim 95 wherein said mammal is a human.
97. A method of controlling weight gain of an individual comprising administering to said individual suffering from weight control a therapeutically effective amount of a compound according to any one of claims 1 to 76 or a pharmaceutical composition according to claim 77.  
5
98. The method according to one of claims 97 wherein said individual is a mammal.  
10
99. The method according to claim 98 wherein said mammal is a human.
100. The method according to any one of claims 93, 96 and 99 wherein the human has a body mass index of about 18.5 to about 45.  
15
101. The method according to any one of claims 93, 96 and 99 wherein the human has a body mass index of about 25 to about 45.
102. The method according to any one of claims 93, 96 and 99 wherein the human has a body mass index of about 30 to about 45.  
20
103. The method according to any one of claims 93, 96 and 99 wherein the human has a body mass index of about 35 to about 45.
104. A method of producing a pharmaceutical composition comprising admixing at least one compound according to any one of claims 1 to 76 and a pharmaceutically acceptable carrier.  
25
105. A compound according to any one of claims 1 to 76 for use in a method of treatment of the human or animal body by therapy.  
30
106. A compound according to any one of claims 1 to 76 for use in a method of prophylaxis or treatment of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal disorders; diabetes insipidus or sleep apnea of the human or animal body by therapy.

107. The use according to claim 106 wherein the disorders of the central nervous system are selected from the group consisting of depression, atypical depression, bipolar disorders, anxiety disorders, obsessive-compulsive disorders, social phobias or panic states, sleep disorders, sexual dysfunction, psychoses, schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, chronic fatigue syndrome, drug and alcohol addiction, obesity, bulimia, anorexia nervosa and premenstrual tension.
108. The use according to claim 107 wherein the disorder of the central nervous system is obesity.
- 15 109. The method according to claim 107 wherein the disorder of the central nervous system is Alzheimer disease.
110. The method according to claim 107 wherein the sexual dysfunction is Male erectile dysfunction.
- 20 111. The use according to claim 106 wherein the damage to the central nervous system is by trauma, stroke, neurodegenerative diseases, toxic CNS diseases or infective CNS diseases.
112. The use according to claim 106 wherein the damage to the central nervous system is by encephalitis or meningitis.
- 25 113. The use according to claim 106 wherein the cardiovascular disorder is thrombosis.
114. The use according to claim 106 wherein the gastrointestinal disorder is dysfunction of gastrointestinal motility.
- 30 115. A use of a compound according to any one of claims 1 to 76 for the manufacture of a medicament for use in the treatment or prophylaxis of disorders of the central nervous system; damage to the central nervous system; cardiovascular disorders; gastrointestinal

disorders; diabetes insipidus or sleep apnea.

116. The use according to claim 115 wherein the disorders of the central nervous system are selected the group consisting of depression, atypical depression, bipolar disorders, anxiety disorders, obsessive-compulsive disorders, social phobias or panic states, sleep disorders, sexual dysfunction, psychoses, schizophrenia, migraine and other conditions associated with cephalic pain or other pain, raised intracranial pressure, epilepsy, personality disorders, Alzheimer disease, age-related behavioral disorders, behavioral disorders associated with dementia, organic mental disorders, mental disorders in childhood, aggressivity, age-related memory disorders, chronic fatigue syndrome, drug and alcohol addiction, obesity, bulimia, anorexia nervosa and premenstrual tension.  
5
117. The use according to claim 116 wherein the disorder of the central nervous system is obesity.  
10
118. The method according to claim 116 wherein the disorder of the central nervous system is Alzheimer disease.  
15
119. The method according to claim 116 wherein the sexual dysfunction is Male erectile dysfunction.  
20
120. The use according to claim 115 wherein the damage to the central nervous system is by trauma, stroke, neurodegenerative diseases, toxic CNS diseases or infective CNS diseases.  
25
121. The use according to claim 115 wherein the damage to the central nervous system is by encephalitis or meningitis.  
122. The use according to claim 115 wherein the cardiovascular disorder is thrombosis.  
30
123. The use according to claim 115 wherein the gastrointestinal disorder is dysfunction of gastrointestinal motility.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/019670

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D223/16 A61K31/55 A61P25/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data, BEILSTEIN Data, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	KRULL, OLAF ET AL: "Synthesis and structure/NMDA receptor affinity relationships of 1-substituted tetrahydro-3-benzazepines" BIOORGANIC & MEDICINAL CHEMISTRY , 12(6), 1439-1451 CODEN: BMECEP; ISSN: 0968-0896, 2004, XP002306863 abstract page 1442; table 2 -----	1-123
P, X	WO 03/086306 A2 (ARENA PHARMACEUTICALS, INC., USA) 23 October 2003 (2003-10-23) abstract examples claims ----- -/-	1-123 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## ° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

22 November 2004

09/12/2004

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/019670

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHANG, WEI K. ET AL: "Dopamine receptor binding properties of some 2,3,4,5-tetrahydro-1H-3- benzazepin-7-ols with nonaromatic substituents in the 5-position" BIOORGANIC & MEDICINAL CHEMISTRY LETTERS , 2(5), 399-402 CODEN: BMCLE8; ISSN: 0960-894X, 1992, XP002306864 abstract page 401; table 1 -----	1-123
X	EP 0 285 919 A1 (SCHERING CORP., USA) 12 October 1988 (1988-10-12) abstract examples; tables claims -----	1-123

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2004/019670

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  

Although claims 78-104, 107-114 are directed to a diagnostic method practised on the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/019670

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 03086306	A2	23-10-2003	US EP	2003225057 A1 1411881 A2		04-12-2003 28-04-2004
EP 0285919	A1	12-10-1988	AT AU AU CA DE DK EP FI HU IL JP JP KR MX NO NZ PH PT WO US US ZA	112766 T 619744 B2 1596488 A 1321195 C 3851769 D1 652688 A ,B, 0357641 A1 894566 A 53882 A2 85855 A 2502723 T 6062574 B 9311489 B1 9203394 A1 885096 A ,B, 224038 A 27337 A 87068 A ,B 8807526 A1 5015639 A 5247080 A 8802080 A		15-10-1994 06-02-1992 02-11-1988 10-08-1993 17-11-1994 23-11-1988 14-03-1990 27-09-1989 28-12-1990 21-02-1993 30-08-1990 17-08-1994 08-12-1993 01-07-1992 15-11-1988 26-10-1990 08-06-1993 01-04-1988 06-10-1988 14-05-1991 21-09-1993 26-04-1989